The overall order of the Survey:

1. **PREAMBLE** (not included) ........................................................................................................... --
2. **INFORMED CONSENT** (not included) ......................................................................................... --
3. **SECTION A – SAMPLE PERSON VERIFICATION** (not included) ............................................. --
4. **SECTION B – EDUCATION**........................................................................................................... 1
5. **SECTION C – FAMILY** .................................................................................................................. 5
   5.1 Household Composition .................................................................................................................. 5
   5.2 Relationship/Marital Status .......................................................................................................... 7
   5.3 Parental Stress ................................................................................................................................ 11
   5.4 Caregiving ...................................................................................................................................... 11
6. **SECTION D – NON-COGNITIVE SKILLS** .................................................................................... 12
7. **SECTION E – COGNITIVE SKILLS** (not included) ................................................................. --
   7.1 Vocabulary ....................................................................................................................................... --
   7.2 Math ................................................................................................................................................ --
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Information for the Researcher about the Questionnaire

These are preloaded variables and response codes:

<table>
<thead>
<tr>
<th>Code or Character</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>[LASTRND]</td>
<td>Last wave of contact</td>
</tr>
<tr>
<td>(✓)</td>
<td>Essential item</td>
</tr>
<tr>
<td>DK or F8</td>
<td>Don’t know</td>
</tr>
<tr>
<td>RF or F7</td>
<td>Refused</td>
</tr>
<tr>
<td>HH</td>
<td>Household</td>
</tr>
<tr>
<td>R</td>
<td>Respondent</td>
</tr>
</tbody>
</table>

In order to highlight the questions with the least amount of extraneous IT coding and instructions to the interviewer, some of the internal coding and programming notes have been removed. All the questions are included.

Essential information relating to skip patterns and other information have been retained. Some of the skip patterns and essential IT information appears in green boxes (below). Other relevant information may appear in [brackets] in the text at the beginning of the question and in the responses at the end of the question.

The HS&B Sophomore Cohort Fifth Follow-up Study was funded by the Alfred P. Sloan Foundation (2012-10-27), the National Science Foundation (HRD 1348527), and the U.S. Department of Education Institute of Education Sciences (R305U140001). This material is based upon work supported by these funders. Any opinions, findings, and conclusions or recommendations expressed in this material are those of the author(s) and do not necessarily reflect the views of our funders.
4. SECTION B - EDUCATION

IF NOT H.S. GRADUATE (as of LASTRND) → GO TO B1
ALL OTHERS → GO TO B4

B1. (√)  Now, I’d like to ask you about your educational experiences since [LASTRND].

Have you received a high school diploma, certificate of attendance, or a GED or other equivalency since [LASTRND]?

YES ........................................................1 → GO TO B2
NO ...........................................................2 → GO TO B4
DON’T KNOW ......................................... F8 → GO TO B4
REFUSED .................................................. F7 → GO TO B4

B2. (√)  What type of high school diploma or certificate did you complete? Did you receive a diploma, certificate of attendance, GED or other equivalency certificate?

DIPLOMA ...................................................1 → GO TO B3
CERTIFICATE OF ATTENDENCE ..........2 → GO TO B3
GED OR OTHER CERTIFICATE ............3 → GO TO B3
DON’T KNOW ......................................... F8 → GO TO B2.1
REFUSED .................................................. F7 → GO TO B2.1

B2.1  It is very important that we get an answer to this question. Researchers use this information to understand the relationship between educational attainment and other life outcomes. Please answer to the best of your ability.

What type of high school diploma or certificate did you complete? Did you receive a diploma, certificate of attendance, GED or other equivalency certificate?

DIPLOMA ...................................................1 → GO TO B3
CERTIFICATE OF ATTENDENCE ..........2 → GO TO B3
GED OR OTHER CERTIFICATE ............3 → GO TO B3
DON’T KNOW ......................................... F8 → GO TO B3
REFUSED .................................................. F7 → GO TO B3

B3. (√)  In what year did you receive your [diploma/certificate of attendance/GED or other equivalency]?

YEAR: _______
B4. (√) Now, I’d like to ask you about your educational experiences since [LASTRND].

Since the beginning of [LASTRND], have you taken any academic courses for credit in a four-year college or university or a two-year college? Do not include commercial, vocational or technical training, apprenticeships, or on-the-job training.

YES ..................................................................1 ➔ GO TO B5
NO.......................................................................2 ➔ GO TO B9
DON’T KNOW................................................. F8 ➔ GO TO B9
REFUSED.......................................................... F7 ➔ GO TO B9

B5. (√) Have you earned a degree from a college or university since [LASTRND]?

YES..................................................................1 ➔ GO TO B6
NO.......................................................................2 ➔ GO TO B8
DON’T KNOW................................................. F8 ➔ GO TO B8
REFUSED.......................................................... F7 ➔ GO TO B8

B6. (√) What is the highest degree or diploma which you have earned since [LASTRND]?

Associate’s Degree........................................ 1 ➔ GO TO B7
Bachelor’s Degree......................................... 2 ➔ GO TO B7
Master’s Degree............................................. 3 ➔ GO TO B7
Doctorate Degree or
Professional Degree (MD, JD, VMD)............. 4 ➔ GO TO B7
DON’T KNOW.................................................. F8 ➔ GO TO B6.1
REFUSED.......................................................... F7 ➔ GO TO B6.1

B6.1 It is very important that we get an answer to this question. Researchers use this information to understand the relationship between educational attainment and other life outcomes. Please answer to the best of your ability.

What is the highest degree or diploma which you have earned since [LASTRND]?

Associate’s Degree........................................ 1
Bachelor’s Degree......................................... 2
Master’s Degree............................................. 3
Doctorate Degree or
Professional Degree (MD, JD, VMD)............. 4
DON’T KNOW.................................................. F8
REFUSED.......................................................... F7
B7. (✓) In what year did you complete your [Associate’s/Bachelor’s /Master’s/Doctorate/ Professional] degree?

YEAR: _____ ....................................   GO TO B8
DON’T KNOW.......................................F8 ➔ GO TO B7.1
REFUSED..............................................F7 ➔ GO TO B7.1

B7.1 It is very important that we get an answer to this question. Researchers use this information to understand the relationship between educational attainment and other life outcomes. Please answer to the best of your ability.

In what year did you complete your [Associate’s/Bachelor’s /Master’s/Doctorate/ Professional] degree?

YEAR: _____

B8. Are you now enrolled in a formal academic program in a college or university? Do not include commercial, vocational, technical training, apprenticeships, or on the job training.

YES ..............................................................1
NO ..................................................................2
DON’T KNOW.................................................F8
REFUSED.............................................F7

B9. (✓) Since the beginning of [LASTRND], have you completed any formal apprenticeships or on-the-job training or have you taken any courses at a vocational, technical, or trade school?

YES..............................................................1 ➔ GO TO B10
NO..................................................................2 ➔ GO TO SECTION C
DON’T KNOW.................................................F8 ➔ GO TO SECTION C
REFUSED.............................................F7 ➔ GO TO SECTION C

B10. (✓) Have you earned a degree, certificate, or other credential as part of any formal commercial, vocational or technical training, apprenticeships, or on-the-job training since [LASTRND]?

YES..............................................................1 ➔ GO TO B11
NO..................................................................2 ➔ GO TO B13
DON’T KNOW.................................................F8 ➔ GO TO B13
REFUSED.............................................F7 ➔ GO TO B13

B11. (✓) What is the most recent such degree, certificate, or other credential which you have earned since [LASTRND]?

DEGREE/CERTIFICATE: ____________
DON’T KNOW.................................................F8
REFUSED.............................................F7
B12. (✓) In what year did you complete your [most recent such degree, certificate, or other credential]?

YEAR: ______

B13. Are you now enrolled in any formal commercial, vocational or technical training, apprenticeships, or on-the-job training?

YES ..............................................................1
NO ................................................................2
DON’T KNOW ......................................... F8
REFUSED ............................................... F7
### 5. SECTION C - FAMILY

#### 5.1 Household Composition

C1. Next, I would like to know who lives in your household. For each person you live with, please tell me how they are related to you and their age.

[HH = Household]

<table>
<thead>
<tr>
<th>C1. HH MEMBER NUMBER</th>
<th>C2. How are they related to you?</th>
<th>C3. What is his/her age?</th>
<th>PROBE: Is there anyone else?</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>RESPONDENT</td>
<td>[R_AGE]</td>
<td>YES................NEW HH ROW/READ PROBE</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>NO/SM LIVES ALONE...............F6 → GO TO C4</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>DON’T KNOW................F8 → GO TO C4</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>REFUSED.......................F7 → GO TO C4</td>
</tr>
</tbody>
</table>

**IT INFO:**

- **DISPLAY RELATIONSHIP LIST**
- **RANGE: 0-105 YEARS**

| 02 |                  |                      | YES................NEW HH ROW/READ PROBE |
| 03 |                  |                      | NO..............................F6 → GO TO C4 |
|    |                  |                      | DON’T KNOW................F8 → GO TO C4 |
|    |                  |                      | REFUSED.......................F7 → GO TO C4 |

| 15 |                  |                      |                      |
### DISPLAY RELATIONSHIP LIST.

<table>
<thead>
<tr>
<th></th>
<th></th>
<th>25</th>
<th>26</th>
<th>27</th>
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<td></td>
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<tr>
<td>2</td>
<td>AUNT, GREAT</td>
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</tr>
<tr>
<td>3</td>
<td>BOARDER</td>
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<tr>
<td>4</td>
<td>BOYFRIEND</td>
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<td>9</td>
<td>BROTHER, STEP</td>
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<td>BROTHER-IN-LAW</td>
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<tr>
<td>21</td>
<td>GIRLFRIEND</td>
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<td></td>
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<tr>
<td>22</td>
<td>GRANDDAUGHTER</td>
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</tr>
<tr>
<td>23</td>
<td>GRANDDAUGHTER, GREAT</td>
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<td></td>
<td></td>
<td>68</td>
</tr>
<tr>
<td>24</td>
<td>GRANDDAUGHTER, STEP</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>69</td>
</tr>
</tbody>
</table>

### C3. What is [Person listed in Question C1]'s age?

[CHILDREN UNDER 6 MONTHS OLD ARE AGE "0"; CHILDREN OVER 6 MONTHS OLD ARE "1". ASK R TO REPORT IN WHOLE YEARS. IF R IS UNSURE PROMPT FOR BEST GUESS]

AGE: __________

DON’T KNOW................................. F8

REFUSED................................. F7

### C4. Do you have any children who are living outside your household? Please include adopted and step children, as well as children born to you.

[R SHOULD INCLUDE ADULT CHILDREN LIVING ON THEIR OWN. FOR JOINT CUSTODY SITUATIONS, INCLUDE CHILDREN WHO LIVE MORE THAN 50% OF THE TIME OUTSIDE HH.]

YES..............................................1 ➔ GO TO C5

NO.............................................2 ➔ GO TO C6

DON’T KNOW................................. F8 ➔ GO TO C6

REFUSED.................................... F7 ➔ GO TO C6
C5. What is the age of your youngest child living outside of your household?

Please consider adopted and step children, as well as children born to you.

[CHILDREN UNDER 6 MONTHS OLD ARE AGE "0"; CHILDREN OVER 6 MONTHS OLD ARE "1". ASK R TO REPORT IN WHOLE YEARS.]

AGE: __________
DON’T KNOW.......................................... F8
REFUSED.............................................. F7

5.2 Relationship/Marital Status

C6. (√) What is your current marital status? Are you currently married, separated, divorced, widowed or have you never been married?

Currently married.................................1 → GO TO C7
Separated...............................................2 → GO TO C10
Divorced...............................................3 → GO TO C11
Widowed...............................................4 → GO TO C12
Never married......................................5 → GO TO SKIPBOX 1
DON’T KNOW.................................F8 → GO TO C6.1
REFUSED.............................................. F7 → GO TO C6.1

C6.1 It is very important that we get an answer to this question. Researchers use this information to understand the relationship between family composition and other life outcomes. Please answer to the best of your ability.

What is your current marital status? Are you currently married, separated, divorced, widowed or have you never been married?

Currently married.................................1 → GO TO C7
Separated...............................................2 → GO TO C10
Divorced...............................................3 → GO TO C11
Widowed...............................................4 → GO TO C12
Never married......................................5 → GO TO SKIPBOX 1
DON’T KNOW.................................F8 → GO TO SKIPBOX 1
REFUSED.............................................. F7 → GO TO SKIPBOX 1

C7. In what year were you married to your current spouse?

YEAR: __________
DON’T KNOW.......................................... F8
REFUSED.............................................. F7

[IF RESPONDENT DID NOT LIST SPOUSE AS LIVING IN HOUSEHOLD IN QUESTIONS C1 AND C2 -7 GO to C8, IF RESPONDENT DID LIST SPOUSE IN QUESTIONS C1 AND C2 -7 GO to C13]
C8. Is your spouse living outside your household?

YES ..............................................................1
NO ................................................................2
DON’T KNOW ........................................... F8
REFUSED .................................................... F7

C9. What is your spouse’s age?

AGE: _____  → GO TO C13
DON’T KNOW ........................................... F8  → GO TO C13
REFUSED .................................................... F7  → GO TO C13

C10. In what year were you separated?

Most recently?

YEAR: _____  → GO TO C13
DON’T KNOW ........................................... F8  → GO TO C13
REFUSED .................................................... F7  → GO TO C13

C11. In what year were you divorced?

Most recently?

YEAR: _____  → GO TO C13
DON’T KNOW ........................................... F8  → GO TO C13
REFUSED .................................................... F7  → GO TO C13

C12. In what year were you widowed?

Most recently?

YEAR: _____  → GO TO C13
DON’T KNOW ........................................... F8  → GO TO C13
REFUSED .................................................... F7  → GO TO C13

C13. Have you been married more than once?

YES ..............................................................1  → GO TO C13.1
NO ................................................................2  → GO TO SKIP BOX 1
DON’T KNOW ........................................... F8  → GO TO SKIP BOX 1
REFUSED .................................................... F7  → GO TO SKIP BOX 1

C13.1 How many times have you been married?

TIMES: _____  → GO TO SKIP BOX 1
DON’T KNOW ........................................... F8  → GO TO SKIP BOX 1
REFUSED .................................................... F7  → GO TO SKIP BOX 1
What is your [wife’s/husband’s/partner’s/boyfriend’s/girlfriend’s/spouse’s] current employment situation? Is she/he working now, temporarily laid off, unemployed and looking for work, disabled and unable to work, retired, a homemaker, a student or what?

WORKING NOW ........................................1 → GO TO C14.1
UNEMPLOYED AND LOOKING FOR WORK .................................................. 2 → GO TO C14.1
TEMPORARILY LAID OFF, ON SICK OR OTHER LEAVE .........................3 → GO TO C14.1
DISABLED ..................................................4 → GO TO C16
RETIRED .....................................................5 → GO TO C16
HOMEMAKER ...........................................6 → GO TO C16
STUDENT…………………………………7 → GO TO C16
OTHER .................................................................. 8 → GO TO C14.1
DON’T KNOW ................................................................. F8  → GO TO C16
REFUSED ................................................................................. F7 → GO TO C16

Please tell me about your [spouse’s/girlfriend’s/boyfriend’s] employment situation.

EMPLOYMENT SITUATION: ➔ GO TO C16
DON’T KNOW F8 ➔ GO TO C16
REFUSED ................................. F7 ➔ GO TO C16

What is the highest degree, certificate or diploma which your [husband/wife/partner/boyfriend/girlfriend/spouse] has earned?

12th grade or less, no diploma .............................. 1
Regular High School Diploma/GED/Some
College credit, but no degree/Certificate ............................ 2
Associate’s Degree (i.e., AA, AS) ................................. 3
Bachelor’s Degree (i.e., BA, BS) ................................. 4
Master’s Degree (i.e., MA, MS, MEng, MEd, MSW, MBA) ................................. 5
Doctorate Degree or Professional
Degree (MD, JD, VMD) ................................. 6
DON’T KNOW ................................................................. F8
REFUSED ................................................................................. F7
C17. Would you say that your [husband’s/wife’s/partner’s/boyfriend’s/girlfriend’s/spouse’s] health is excellent, very good, good, fair, or poor?

EXCELLENT ..............................................1
VERY GOOD ..............................................2
GOOD ..........................................................3
FAIR ............................................................4
POOR ...........................................................5
DON’T KNOW ........................................... F8
REFUSED .................................................... F7

C18. Now I would like to talk with you about your marriage. Taking all things together, how satisfied are you with your marriage– are you completely satisfied, very, somewhat, not very, or not at all satisfied?

Now I would like to talk with you about your relationship with your partner. Taking all things together, how satisfied are you with your relationship – are you completely satisfied, very, somewhat, not very, or not at all satisfied?

Now I would like to talk with you about your relationship with your boyfriend. Taking all things together, how satisfied are you with your relationship – are you completely satisfied, very, somewhat, not very, or not at all satisfied?

Now I would like to talk with you about your relationship with your girlfriend. Taking all things together, how satisfied are you with your relationship – are you completely satisfied, very, somewhat, not very, or not at all satisfied?

COMPLETELY SATISFIED ......................1
VERY SATISFIED ......................................2
SOMewhat SATISFIED .............................3
NOT VERY SATISFIED .............................4
NOT AT ALL SATISFIED ...........................5
DON’T KNOW ........................................... F8
REFUSED .................................................... F7
5.3 Parental Stress

C20. Now I would like to talk with you about being a parent.

How often do you feel bothered or upset as a parent – almost always, often, sometimes, rarely, or never?

- ALMOST ALWAYS ...................................1
- OFTEN .........................................................2
- SOMETIMES ..............................................3
- RARELY ......................................................4
- NEVER ........................................................5
- DON’T KNOW ............................................. F8
- REFUSED .................................................... F7

5.4 Caregiving

C22. Now I would like to talk with you about friends and relatives who may have trouble taking care of themselves because of physical or mental illness, disability, or for some other reason. Are you currently helping someone like this, either by caring for them directly or by arranging for their care by others?

- YES ..............................................................1  \( \rightarrow \) GO TO C24
- NO ..............................................................2  \( \rightarrow \) GO TO E1.1
- DON’T KNOW ............................................. F8  \( \rightarrow \) GO TO E1.1
- REFUSED .................................................... F7  \( \rightarrow \) GO TO E1.1

C24. Thinking about the person with whom you have the most involvement, how stressful is it for you to care for him or her or to arrange for his or her care? Is it very stressful, quite stressful, somewhat stressful, not too stressful, or not at all stressful?

- VERY STRESSFUL ...........................................1
- QUITE STRESSFUL ...........................................2
- SOMewhat STRESSFUL ......................................3
- NOT TOO STRESSFUL ......................................4
- NOT AT ALL STRESSFUL ..................................5
- DON’T KNOW ............................................. F8
- REFUSED .................................................... F7
E1. 1  Next I’m going to ask you some questions about your feelings and opinions on various topics.

How do you feel about each of the following statements? Please indicate whether you strongly agree, agree, neither agree nor disagree, disagree or strongly disagree.

I take a positive attitude toward myself. Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

- Strongly agree .............................................1
- Agree ...........................................................2
- Neither agree nor disagree ...........................3
- Disagree ......................................................4
- Strongly disagree .........................................5
- DON’T KNOW ......................................... F8
- REFUSED ................................................. F7

E1.2  Good luck is more important than hard work for success.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

- Strongly agree .............................................1
- Agree ...........................................................2
- Neither agree nor disagree ...........................3
- Disagree ......................................................4
- Strongly disagree .........................................5
- DON’T KNOW ......................................... F8
- REFUSED ................................................. F7

E1.3  I feel I am a person of worth; on an equal plane with others.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

- Strongly agree .............................................1
- Agree ...........................................................2
- Neither agree nor disagree ...........................3
- Disagree ......................................................4
- Strongly disagree .........................................5
- DON’T KNOW ......................................... F8
- REFUSED ................................................. F7
E1.4  I am able to do things as well as most other people.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

Strongly agree .............................................1
Agree ...........................................................2
Neither agree nor disagree ...........................3
Disagree ......................................................4
Strongly disagree .........................................5
DON’T KNOW ...........................................F8
REFUSED ..................................................F7

E1.5  Every time I try to get ahead, something or somebody stops me.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

Strongly agree .............................................1
Agree ...........................................................2
Neither agree nor disagree ...........................3
Disagree ......................................................4
Strongly disagree .........................................5
DON’T KNOW ...........................................F8
REFUSED ..................................................F7

E1.6  Planning only makes a person unhappy, since plans hardly ever work out anyway.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

Strongly agree .............................................1
Agree ...........................................................2
Neither agree nor disagree ...........................3
Disagree ......................................................4
Strongly disagree .........................................5
DON’T KNOW ...........................................F8
REFUSED ..................................................F7
E1.7  People who accept their condition in life are happier than those who try to change things.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

Strongly agree .............................................1
Agree .........................................................2
Neither agree nor disagree ...........................3
Disagree ......................................................4
Strongly disagree .........................................5
DON’T KNOW ..............................................F8
REFUSED ..................................................F7

E1.8  On the whole, I am satisfied with myself.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

Strongly agree .............................................1
Agree .........................................................2
Neither agree nor disagree ...........................3
Disagree ......................................................4
Strongly disagree .........................................5
DON’T KNOW ..............................................F8
REFUSED ..................................................F7

E1.9  What happens to me is my own doing.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

Strongly agree .............................................1
Agree .........................................................2
Neither agree nor disagree ...........................3
Disagree ......................................................4
Strongly disagree .........................................5
DON’T KNOW ..............................................F8
REFUSED ..................................................F7
E1.10  At times I think I am no good at all.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

Strongly agree .............................................1
Agree ...........................................................2
Neither agree nor disagree ...........................3
Disagree ......................................................4
Strongly disagree .........................................5
DON’T KNOW .................................................. F8
REFUSED........................................................ F7

E1.11  When I make plans, I am almost certain I can make them work.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

Strongly agree .............................................1
Agree ...........................................................2
Neither agree nor disagree ...........................3
Disagree ......................................................4
Strongly disagree .........................................5
DON’T KNOW .................................................. F8
REFUSED........................................................ F7

E1.12  I feel I do not have much to be proud of.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

Strongly agree .............................................1
Agree ...........................................................2
Neither agree nor disagree ...........................3
Disagree ......................................................4
Strongly disagree .........................................5
DON’T KNOW .................................................. F8
REFUSED........................................................ F7
E3.2  I am someone who is a reliable worker.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

- Strongly agree .............................................1
- Agree ...........................................................2
- Neither agree nor disagree ...........................3
- Disagree ......................................................4
- Strongly disagree .........................................5
- DON'T KNOW .................................................. F8
- REFUSED....................................................... F7

E3.1  I am someone who does a thorough job.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

- Strongly agree .............................................1
- Agree ...........................................................2
- Neither agree nor disagree ...........................3
- Disagree ......................................................4
- Strongly disagree .........................................5
- DON'T KNOW .................................................. F8
- REFUSED....................................................... F7

E2.2  Setbacks don’t discourage me.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

- Strongly agree .............................................1
- Agree ...........................................................2
- Neither agree nor disagree ...........................3
- Disagree ......................................................4
- Strongly disagree .........................................5
- DON'T KNOW .................................................. F8
- REFUSED....................................................... F7
E3.3  I am someone who tends to be disorganized.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

   Strongly agree .............................................1
   Agree ...........................................................2
   Neither agree nor disagree ...........................3
   Disagree ......................................................4
   Strongly disagree .........................................5
   DON'T KNOW ............................................... F8
   REFUSED ................................................... F7

E3.4  I am someone who tends to be lazy.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

   Strongly agree .............................................1
   Agree ...........................................................2
   Neither agree nor disagree ...........................3
   Disagree ......................................................4
   Strongly disagree .........................................5
   DON'T KNOW ............................................... F8
   REFUSED ................................................... F7

E2.5  I often set a goal but later choose to pursue a different one.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

   Strongly agree .............................................1
   Agree ...........................................................2
   Neither agree nor disagree ...........................3
   Disagree ......................................................4
   Strongly disagree .........................................5
   DON'T KNOW ............................................... F8
   REFUSED ................................................... F7
E2.8 I am diligent.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

Strongly agree .............................................1
Agree ...........................................................2
Neither agree nor disagree ...........................3
Disagree ......................................................4
Strongly disagree .................................5
DON'T KNOW .............................................. F8
REFUSED.................................................... F7

E3.5 I am someone who enjoys competition.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

Strongly agree .............................................1
Agree ...........................................................2
Neither agree nor disagree ...........................3
Disagree ......................................................4
Strongly disagree .................................5
DON'T KNOW .............................................. F8
REFUSED.................................................... F7

E3.6 I am someone who perseveres until the task is finished.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

Strongly agree .............................................1
Agree ...........................................................2
Neither agree nor disagree ...........................3
Disagree ......................................................4
Strongly disagree .................................5
DON'T KNOW .............................................. F8
REFUSED.................................................... F7
E3.7  
I am someone who does things efficiently.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

Strongly agree .............................................1  
Agree ...........................................................2  
Neither agree nor disagree .........................3  
Disagree ......................................................4  
Strongly disagree .........................................5  
DON'T KNOW ...............................................F8  
REFUSED..........................................................F7

E3.8  
I am someone who makes plans and follows through with them.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

Strongly agree .............................................1  
Agree ...........................................................2  
Neither agree nor disagree .........................3  
Disagree ......................................................4  
Strongly disagree .........................................5  
DON'T KNOW ...............................................F8  
REFUSED..........................................................F7

E3.9  
I am someone who is easily distracted.

Do you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree?

Strongly agree .............................................1  
Agree ...........................................................2  
Neither agree nor disagree .........................3  
Disagree ......................................................4  
Strongly disagree .........................................5  
DON'T KNOW ...............................................F8  
REFUSED..........................................................F7
8. SECTION F - HEALTH MODULE

8.1 Self-assessed Overall Health

F1. (✓) Next I have some questions about your health. Would you say your health is excellent, very good, good, fair, or poor?

- EXCELLENT ................................................1 → GO TO F2
- VERY GOOD ................................................2 → GO TO F2
- GOOD ............................................................3 → GO TO F2
- FAIR ..............................................................4 → GO TO F2
- POOR .............................................................5 → GO TO F2
- DON’T KNOW ..............................................F8 → GO TO F1.1
- REFUSED ......................................................F7 → GO TO F1.1

F1.1 It is very important that we get an answer to this question. Researchers use this information to better understand the relationship between health and other life choices. Please answer to the best of your ability.

Next I have some questions about your health. Would you say your health is excellent, very good, good, fair, or poor?

- EXCELLENT ................................................1
- VERY GOOD ................................................2
- GOOD ............................................................3
- FAIR ..............................................................4
- POOR .............................................................5
- DON’T KNOW ..............................................F8
- REFUSED ......................................................F7

F2. Have you been a patient in a hospital, overnight or longer, at any time during the past 6 months?

- YES ..........................................................1 → GO TO F3
- NO ............................................................2 → GO TO F4
- DON’T KNOW ..............................................F8 → GO TO F4
- REFUSED ......................................................F7 → GO TO F4

F3. How many nights were you a hospital patient during the past 6 months?

NUMBER:

DON’T KNOW ..............................................F8
- REFUSED ......................................................F7
8.2 Functional Limitations

F4. We need to understand difficulties people may have with various activities because of a health or physical problem. Please tell me whether you have any difficulty doing each of the everyday activities that I read to you. Exclude any difficulties that you expect to last less than three months.

Because of a health problem do you have any difficulty with walking several blocks?

R IS CONFINED TO A BED OR WHEELCHAIR  →  GO TO F12
YES ............................................................1  →  GO TO F6
NO .............................................................2  →  GO TO F5
CAN’T DO ..................................................3  →  GO TO F6
DON’T DO ..................................................4  →  GO TO F6
DON’T KNOW ..............................................F8  →  GO TO F6
REFUSED ....................................................F7  →  GO TO F6

F5. (Because of a health problem do you have any difficulty with) running or jogging about a mile?

YES ............................................................1  →  GO TO F7
NO .............................................................2  →  GO TO F7
CAN’T DO ..................................................3  →  GO TO F7
DON’T DO ..................................................4  →  GO TO F7
DON’T KNOW ..............................................F8  →  GO TO F7
REFUSED ....................................................F7  →  GO TO F7

F6. (Because of a health problem do you have any difficulty with) walking one block?

YES ............................................................1
NO .............................................................2
CAN’T DO ..................................................3
DON’T DO ..................................................4
DON’T KNOW ..............................................F8
REFUSED ....................................................F7
F7.  
(Because of a health problem do you have any difficulty with) sitting for about two hours?

YES ....................................................1
NO ..........................................................2
CAN'T DO ............................................3
DON'T DO ............................................4
DON'T KNOW ........................................F8
REFUSED .............................................F7

F8.  
(Because of a health problem do you have any difficulty with) getting up from a chair after sitting for long periods?

YES ....................................................1
NO ..........................................................2
CAN'T DO ............................................3
DON'T DO ............................................4
DON'T KNOW ........................................F8
REFUSED .............................................F7

F9.  
(Because of a health problem do you have any difficulty with) climbing several flights of stairs without resting?

YES ....................................................1  →  F10
NO ..........................................................2  →  F11
CAN'T DO ............................................3  →  F10
DON'T DO ............................................4  →  F10
DON'T KNOW ........................................F8  →  F10
REFUSED .............................................F7  →  F10

F10.  
(Because of a health problem do you have any difficulty with) climbing one flight of stairs without resting?

YES ....................................................1
NO ..........................................................2
CAN'T DO ............................................3
DON'T DO ............................................4
DON'T KNOW ........................................F8
REFUSED .............................................F7
F11. (Because of a health problem do you have any difficulty with) stooping, kneeling, or crouching?

YES ..............................................................1
NO ..............................................................2
CAN’T DO ...................................................3
DON’T DO ...................................................4
DON’T KNOW ...........................................F8
REFUSED ..................................................F7

F12. (Because of a health problem do you have any difficulty with) reaching or extending your arms above shoulder level?

YES ..............................................................1
NO ..............................................................2
CAN’T DO ...................................................3
DON’T DO ...................................................4
DON’T KNOW ...........................................F8
REFUSED ..................................................F7

F13. (Because of a health problem do you have any difficulty with) pulling or pushing large objects like a living room chair?

YES ..............................................................1
NO ..............................................................2
CAN’T DO ...................................................3
DON’T DO ...................................................4
DON’T KNOW ...........................................F8
REFUSED ..................................................F7

F14. (Because of a health problem do you have any difficulty with) lifting or carrying weights over 10 pounds, like a heavy bag of groceries?

YES ..............................................................1
NO ..............................................................2
CAN’T DO ...................................................3
DON’T DO ...................................................4
DON’T KNOW ...........................................F8
REFUSED ..................................................F7
F15. (Because of a health problem do you have any difficulty with) picking up a dime from a table?

YES .........................................................1
NO ..............................................................2
CAN’T DO ....................................................3
DON’T DO ...................................................4
DON’T KNOW .............................................F8
REFUSED ..................................................F7

F16 (√). Have you ever had any long-term physical or mental conditions, illnesses or disabilities that limited what you were able to do, either on or off the job?

YES .........................................................1  ➔  GO TO F17
NO ..............................................................2  ➔  GO TO F28
DON’T KNOW .............................................F8  ➔  GO TO F28
REFUSED ..................................................F7  ➔  GO TO F28

F17 (√). What were the most recent long-term physical or mental conditions, illnesses or disabilities that limited what you were able to do, either on or off the job?

CONDITIONS: ______________________________  ➔  GO TO F18
DON’T KNOW .............................................F8  ➔  GO TO F28
REFUSED ..................................................F7  ➔  GO TO F28

F18 (√). When did [most recent long-term physical or mental condition, illness or disability] stop limiting what you are able to do, or does it still limit what you are able to do?

AGE: ________
YEAR: ________
STILL LIMITS .............................................1
DON’T KNOW .............................................F8
REFUSED ..................................................F7
8.4 Depression / Anxiety / Psychological Distress

F28. The next questions are about how you have been feeling during the past 30 days. About how often during the past 30 days did you feel nervous — would you say all of the time, most of the time, some of the time, a little of the time, or none of the time?

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<td>NONE</td>
<td>5</td>
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<td>DON’T KNOW</td>
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<tr>
<td>REFUSED</td>
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F29. (During the past 30 days), about how often did you feel hopeless — all of the time, most of the time, some of the time, a little of the time, or none of the time?

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<td>NONE</td>
<td>5</td>
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<td>DON’T KNOW</td>
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<td>REFUSED</td>
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F30. (During the past 30 days), about how often did you feel restless or fidgety?

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<td>DON’T KNOW</td>
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F31. (During the past 30 days), how often did you feel so depressed that nothing could cheer you up?

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<td>DON’T KNOW</td>
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<tr>
<td>REFUSED</td>
<td>F7</td>
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</table>
F32. 
(During the past 30 days), about how often did you feel that everything was an effort?

ALL.................................................................1
MOST ..............................................................2
SOME ..............................................................3
A LITTLE ..........................................................4
NONE ..............................................................5
DON’T KNOW ..................................................F8
REFUSED .........................................................F7

F33. 
(During the past 30 days), about how often did you feel worthless?

ALL.................................................................1
MOST ..............................................................2
SOME ..............................................................3
A LITTLE ..........................................................4
NONE ..............................................................5
DON’T KNOW ..................................................F8
REFUSED .........................................................F7

8.5 Smoking

F34. 
Have you ever smoked cigarettes?

YES.................................................................1 → GO TO F35
NO.................................................................2 → GO TO F38
DON’T KNOW ..................................................F8 → GO TO F38
REFUSED .........................................................F7 → GO TO F38

F35. 
At what age did you begin smoking?

AGE: __________
DON’T KNOW ..................................................F8
REFUSED .........................................................F7

F36. 
Do you smoke cigarettes now?

YES.................................................................1 → GO TO F38
NO.................................................................2 → GO TO F37
DON’T KNOW ..................................................F8 → GO TO F37
REFUSED .........................................................F7 → GO TO F37

F37. 
When did you stop smoking?

AGE: __________
YEARS: __________
DON’T KNOW ..................................................F8
REFUSED .........................................................F7
8.6 Alcohol Use

F38. In the last three months, on average, how many days per week have you had any alcohol to drink?

[IF 0 THEN SKIP TO F41]

NUMBER: __________  →  GO TO F39
DON’T KNOW.............................................F8  →  GO TO F39
REFUSED..................................................F9  →  GO TO F39

F39. In the last three months, on the days you drink, about how many drinks do you have?

DRINKS: __________
DON’T KNOW.............................................F8
REFUSED..................................................F7

F40. In the last three months, on how many days have you had four or more drinks on one occasion?

DAYS: __________
DON’T KNOW.............................................F8
REFUSED..................................................F7

8.7 Sleep

F41. Now I have some questions on sleep. During the past month, how would you rate your sleep quality overall? Would you rate it as very good, fairly good, fairly bad, or very bad?

VERY GOOD ..............................................1
FAIRLY GOOD...........................................2
FAIRLY BAD.............................................3
VERY BAD ...............................................4
DON’T KNOW...........................................F8
REFUSED..................................................F7
F42. How much sleep do you usually get at night on weekdays or workdays?

[IF R HAS A NIGHT SHIFT] How much sleep do you usually get each day on weekdays or workdays?

HOURS: __________
DON’T KNOW........................................ F8
REFUSED.............................................. F7

8.8 Height / Weight

F43. (✓) About how much do you weigh?

POUNDS: __________ ➔ GO TO F44
DON’T KNOW........................................... F8 ➔ GO TO F43.1
REFUSED.............................................. F7 ➔ GO TO F43.1

F43.1 It is very important that we get an answer to this question. Researchers use this information to better understand the relationship between health and other life outcomes. Please answer to the best of your ability.

About how much do you weigh?

POUNDS: __________
DON’T KNOW........................................... F8
REFUSED.............................................. F7

F44. (✓) About how tall are you?

FEET: __________ ➔ GO TO F45
INCHES: __________ ➔ GO TO F45
DON’T KNOW........................................... F8 ➔ GO TO F44.1
REFUSED.............................................. F7 ➔ GO TO F44.1

F44.1 It is very important that we get an answer to this question. Researchers use this information to better understand the relationship between health and other life outcomes. Please answer to the best of your ability.

About how tall are you?

FEET: __________
INCHES: __________
DON’T KNOW........................................... F8
REFUSED.............................................. F7
8.9 Access to Care

F45. Do you have any kind of health care coverage, including health insurance, prepaid plans such as HMOs, or government plans such as Medicare or Indian Health Services?

YES .........................................................1
NO .............................................................2
DON’T KNOW .............................................F8
REFUSED ..................................................F7

F46. Was there a time in the past 12 months when you needed to see a doctor but could not because of cost?

YES .............................................................1
NO .............................................................2
DON’T KNOW .............................................F8
REFUSED ..................................................F7
9. SECTION G - WORK

9.1 Labor Force Status

G1. (√) Now I'm going to ask you some questions about your current employment situation. Are you working now, temporarily laid off, unemployed and looking for work, disabled and unable to work, retired, a homemaker, or what?

WORKING NOW............................................1 → GO TO SKIP BOX 6
UNEMPLOYED AND
LOOKING FOR WORK .........................2 → GO TO G2
TEMPORARILY LAID OFF, ON SICK
OR OTHER LEAVE.........................3 → GO TO G3
DISABLED........................................4 → GO TO G5
RETIRED...........................................5 → GO TO G6
HOMEMAKER.................................6 → GO TO SKIP BOX 6
OTHER ...........................................7 → GO TO G1.2
DON’T KNOW.................................F8 → GO TO G1.1
REFUSED........................................... F7 → GO TO G1.1

G1.1 It is very important that we get an answer to this question. Researchers use this information to better understand the relationship between employment patterns and other life choices. Please answer to the best of your ability.

Now I'm going to ask you some questions about your current employment situation. Are you working now, temporarily laid off, unemployed and looking for work, disabled and unable to work, retired, a homemaker, or what?

WORKING NOW............................................1 → GO TO SKIP BOX 6
UNEMPLOYED AND
LOOKING FOR WORK .........................2 → GO TO G2
TEMPORARILY LAID OFF, ON SICK
OR OTHER LEAVE.........................3 → GO TO G3
DISABLED........................................4 → GO TO G5
RETIRED...........................................5 → GO TO G6
HOMEMAKER.................................6 → GO TO SKIP BOX 6
OTHER ...........................................7 → GO TO G1.2
DON’T KNOW.................................F8 → GO TO SKIP BOX 6
REFUSED........................................... F7 → GO TO SKIP BOX 6

G1.2 Please tell me about your current employment situation.

EMPLOYMENT SITUATION:___________ → GO TO SKIP BOX 6
DON’T KNOW.................................F8 → GO TO SKIP BOX 6
REFUSED........................................... F7 → GO TO SKIP BOX 6
G2. In what year did you become unemployed?
“I’ve recorded [DATE]. Is this correct?”

YEAR: _____
→ GO TO SKIP BOX 6

G3. Do you expect to go back to this job?

YES.......................................................1
NO.........................................................2
DON’T KNOW........................................F8
REFUSED.................................................F7

G4. In what year did you last work on this job?
“I’ve recorded [DATE]. Is this correct?”

YEAR: _____
→ GO TO SKIP BOX 6

G5. In what year did you become disabled?
“I’ve recorded [DATE]. Is this correct?”

YEAR: _____
→ GO TO SKIP BOX 6

G6. In what year did you retire?
“I’ve recorded [DATE]. Is this correct?”

YEAR: _____
→ GO TO SKIP BOX 6

SKIP BOX 6

IF WORKING NOW, ➔ GO TO G8
IF “TEMPORARILY LAID OFF, ON SICK OR OTHER LEAVE” ➔ GO TO G8
ALL OTHERS ➔ GO TO G7
G7. Are you doing any work for pay at the present time?

YES .........................................................1 → GO TO G8
NO .........................................................2 → GO TO G9
DON’T KNOW .........................................F8 → GO TO G9
REFUSED ...............................................F7 → GO TO G9

G8. [If currently working] In what year did you start working for your current (main) employer?

[If temporarily laid off, on sick or other leave] In what year did you start working for the employer you plan to go back to?

“I’ve recorded [DATE]. Is this correct?

YEAR: ________ → GO TO G10

G8.1 My data shows that you started this job [year started], but that you last worked on this job [last year]. Which information should be changed?

Started working for the employer: YEAR_______
Last worked on this job: YEAR_______

→ GO TO G10

9.2 Industry / Occupation / Class of Worker

G9 (✓). Have you ever worked for pay?

YES .........................................................1 → GO TO G9.1
NO .........................................................2 → GO TO G29
DON’T KNOW .........................................F8 → GO TO G29
REFUSED ...............................................F7 → GO TO G29

G9.1 In what year did you last work for pay?

YEAR: ________

G10. [If currently working] For these next questions we would like you to think about your current (main) job. By main job, I mean the one at which you work the most hours per week.

[If not currently working] For these next questions we would like you to think about the most recent (main) job you held. By main job, I mean the one at which you worked the most hours per week.
G11. (✓)  [If currently working] What kind of work are you doing now?
[If not currently working] What kind of work were you doing in your last job?

WORK: ___________________________  →  GO TO G12
DON’T KNOW ..............................................F8  →  GO TO G11.1
REFUSED .....................................................F7  →  GO TO G11.1

G11.1  It is very important that we get an answer to this question. Researchers use this information to better understand the relationship between employment patterns and other life choices. Please answer to the best of your ability.

[If currently working] What kind of work are you doing now?
[If not currently working] What kind of work were you doing in your last job?

WORK: ___________________________  
DON’T KNOW  
REFUSED .....................................................F7

G12. (✓)  [If currently working] What are your most important activities or duties?
[If not currently working] What were your most important activities or duties?

ACTIVITIES: ___________________________  →  GO TO G13
DON’T KNOW ..............................................F8  →  GO TO G12.1
REFUSED .....................................................F7  →  GO TO G12.1

G12.1  It is very important that we get an answer to this question. Researchers use this information to better understand the relationship between employment patterns and other life choices. Please answer to the best of your ability.

[If currently working] What are your most important activities or duties?
[If not currently working] What were your most important activities or duties?

ACTIVITIES: ___________________________  
DON’T KNOW ..............................................F8
REFUSED .....................................................F7

G13. (✓)  [If currently working] In a typical week, how many hours per week do you work?
[If currently working] In a typical week, how many hours per week did you work?

HOURS: _______________  →  GO TO G14
DON’T KNOW ..............................................F8  →  GO TO G13.1
REFUSED .....................................................F7  →  GO TO G13.1
G13.1 It is very important that we get an answer to this question. Researchers use this information to better understand the relationship between employment patterns and other life choices. Please answer to the best of your ability.

[If currently working] **In a typical week, how many hours per week do you work?**

[If not currently working] **In a typical week, how many hours per week did you work?**

HOURS: __________

DON’T KNOW............................................. F8
REFUSED.................................................. F7

G14. (✓) [If currently working] **What kind of business or industry is this?**

[If not currently working] **What kind of business or industry was this?**

BUSINESS: ___________________________ → GO TO G15

DON’T KNOW............................................. F8 → GO TO G14.1
REFUSED.................................................. F7 → GO TO G14.1

G14.1 It is very important that we get an answer to this question. Researchers use this information to better understand the relationship between employment patterns and other life choices. Please answer to the best of your ability.

[If currently working] **What kind of business or industry is this?**

[If not currently working] **What kind of business or industry was this?**

BUSINESS: ___________________________

DON’T KNOW............................................. F8
REFUSED.................................................. F7

G15. (✓) [If currently working] **Is this mainly manufacturing, wholesale trade, retail trade, or something else?**

[If not currently working] **Was this mainly manufacturing, wholesale trade, retail trade, or something else?**

MANUFACTURING .............................1 → GO TO G16
WHOLESALE TRADE ............................2 → GO TO G16
RETAIL TRADE .................................3 → GO TO G16
SOMETHING ELSE ..............................4 → GO TO G16
DON’T KNOW............................................. F8 → GO TO 15.1
REFUSED.................................................. F7 → GO TO 15.1
G15.1  It is very important that we get an answer to this question. Researchers use this information to better understand the relationship between employment patterns and other life choices. Please answer to the best of your ability.

[If currently working] **Is this mainly manufacturing, wholesale trade, retail trade, or something else?**

[If not currently working] **Was this mainly manufacturing, wholesale trade, retail trade, or something else?**

MANUFACTURING .................................1
WHOLESALE TRADE .................................2
RETAIL TRADE ...........................................3
SOMETHING ELSE .....................................4
DON’T KNOW ...........................................F8
REFUSED ......................................................F7

G16. (✓)  [If currently working] **Are you employed by government, by a private company or organization, or are you self-employed or working in a family business?**

[If not currently working] **Were you employed by government, by a private company or organization, or were you self-employed or working in a family business?**

GOVERNMENT ..................................................1
PRIVATE COMPANY OR ORGANIZATION ..2
SELF-EMPLOYED .............................................3
WORKING IN A FAMILY BUSINESS .............4
DON’T KNOW ...........................................F8
REFUSED ......................................................F7

G16.1  It is very important that we get an answer to this question. This information is used so the researchers can better understand the relationship between employment patterns and other life choices. Please answer to the best of your ability.

[If currently working] **Are you employed by government, by a private company or organization, or are you self-employed or working in a family business?**

[If not currently working] **Were you employed by government, by a private company or organization, or were you self-employed or working in a family business?**

GOVERNMENT ..................................................1
PRIVATE COMPANY OR ORGANIZATION ..2
SELF-EMPLOYED .............................................3
WORKING IN A FAMILY BUSINESS .............4
DON’T KNOW ...........................................F8
REFUSED ......................................................F7
9.3 Benefits

G17. [If currently working] Aside from Social Security, is any kind of pension plan or retirement plan available to you through this employer? Do not include IRAs.

[If not currently working] Aside from Social Security, was any kind of pension plan or retirement plan available to you through this employer? Do not include IRAs.

YES .................................................................1  ➔  GO TO G18
NO .....................................................................2  ➔  GO TO G21
DON'T KNOW............................................F8  ➔  GO TO G17.1
REFUSED......................................................F7  ➔  GO TO G17.1

G17.1 It is very important that we get an answer to this question. Researchers use this information to better understand the relationship between employer retirement benefits and other life choices. Please answer to the best of your ability.

[If currently working] Aside from Social Security, is any kind of pension plan or retirement plan available to you through this employer? Do not include IRAs.

[If not currently working] Aside from Social Security, was any kind of pension plan or retirement plan available to you through this employer? Do not include IRAs.

YES .................................................................1  ➔  GO TO G18
NO .....................................................................2  ➔  GO TO G21
DON'T KNOW............................................F8  ➔  GO TO G21
REFUSED......................................................F7  ➔  GO TO G21

G18. [If currently working] Do you participate in a pension plan or retirement plan through this employer?

[If not currently working] Did you participate in a pension plan or retirement plan through this employer?

YES .................................................................1  ➔  GO TO G21
NO .....................................................................2  ➔  GO TO G21
DON'T KNOW............................................F8  ➔  GO TO G18.1
REFUSED......................................................F7  ➔  GO TO G18.1
G18.1 It is very important that we get an answer to this question. Researchers use this information to better understand the relationship between employer retirement benefits and other life choices. Please answer to the best of your ability.

[If currently working] Do you participate in a pension plan or retirement plan through this employer?

[If not currently working] Did you participate in a pension plan or retirement plan through this employer?

YES .........................................................1
NO ............................................................2
DON’T KNOW ...........................................F8
REFUSED ..................................................F7

9.4 Work Schedule

G21. [If currently working] On your current (main) job can you decide what time to come to work and when to leave, either officially or unofficially?

[If not currently working] On your most recent (main) job could you decide what time to come to work and when to leave, either officially or unofficially?

YES .........................................................1
NO ............................................................2
DON’T KNOW ...........................................F8
REFUSED ..................................................F7

9.5 Job Characteristics

G25. [If currently working] All things considered, how satisfied are you with your job as a whole—are you very satisfied, fairly satisfied, somewhat dissatisfied, or very dissatisfied?

[If not currently working] All things considered, how satisfied were you with your job as a whole—were you very satisfied, fairly satisfied, somewhat dissatisfied, or very dissatisfied?

VERY SATISFIED ........................................1
FAIRLY SATISFIED ....................................2
SOMewhat DISSatisfied ..............................3
VERY DISSatisfied .................................4
DON’T KNOW ...........................................F8
REFUSED ..................................................F7
G26.  [If currently working] Do you supervise the work of others? That is, what they produce or how much?
[If not currently working] Did you supervise the work of others? That is, what they produce or how much?

YES .................................................................1
NO ......................................................................2
DON’T KNOW .....................................................F8
REFUSED ..........................................................F7

G27.  [If currently working] Does someone else supervise your work? That is, what you produce or how much?
[If currently working] Did someone else supervise your work? That is, what you produce or how much?

YES .................................................................1
NO ......................................................................2
DON’T KNOW .....................................................F8
REFUSED ..........................................................F7

G28.1 [If currently working] I am going to list some things about jobs. Please tell me whether your job involves these things always, frequently, sometimes, rarely, or never. How frequently does your job require lots of physical effort?
[If not currently working] I am going to list some things about jobs. Please tell me whether your job involved these things always, frequently, sometimes, rarely, or never. How frequently did your job require lots of physical effort?

ALWAYS ............................................................1
FREQUENTLY .....................................................2
SOMETIMES ......................................................3
RARELY ............................................................4
NEVER ..............................................................5
DON’T KNOW .....................................................F8
REFUSED ..........................................................F7
G28.2  [If currently working] How frequently does your job require intense concentration or attention?

[If not currently working] How frequently did your job require intense concentration or attention?

ALWAYS ......................................................1
FREQUENTLY .............................................2
SOMETIMES ................................................3
RARELY ....................................................4
NEVER .......................................................5
DON’T KNOW ...........................................F8
REFUSED ...................................................F7

G28.3  [If currently working] How frequently do you have to work under the pressure of time?

[If not currently working] How frequently did you have to work under the pressure of time?

ALWAYS ......................................................1
FREQUENTLY .............................................2
SOMETIMES ................................................3
RARELY ....................................................4
NEVER .......................................................5
DON’T KNOW ...........................................F8
REFUSED ...................................................F7

G28.4  [If currently working] How often do you find your work stressful? Would you say always, often, sometimes, hardly ever, or never?

[If not currently working] How often did you find your work stressful? Would you say always, often, sometimes, hardly ever, or never?

ALWAYS ......................................................1
OFTEN .......................................................2
SOMETIMES ................................................3
HARDLY EVER ..........................................4
NEVER .......................................................5
DON’T KNOW ...........................................F8
REFUSED ...................................................F7
9.6 Working Longer?

G29. Think ahead to when you reach age 62. What is the ideal number of hours per week that you would work at a job at age 62?

HOURS: __________________________
DON’T KNOW.................................F8
REFUSED............................................F7

G30 (✓). On a scale from 0 to 100, where 0 means absolutely no chance and 100 means absolutely certain, what do you think the chances are that you will be working full-time after you reach age 62?

NUMBER __________________________ → GO TO G33
DON’T KNOW.................................F8 → GO TO G33
REFUSED............................................F7 → GO TO G33

G33. Now think ahead to when you reach age 70. What is the ideal number of hours per week that you would work at a job at age 70?

HOURS: __________________________
DON’T KNOW.................................F8
REFUSED............................................F7

G34 (✓). On the same 0 to 100 scale (where 0 means absolutely no chance and 100 means absolutely certain) what do you think the chances are that you will be working full-time after you reach age 70?

NUMBER __________________________ → GO TO SECTION H
DON’T KNOW.................................F8 → GO TO SECTION H
REFUSED............................................F7 → GO TO SECTION H
10. Section H- FINANCE

10.1 Income/Assets

Intro: We are interested in how people are doing financially these days.

H0. Do you and your [partner] have shared finances?

YES ..................................................1 → FOLLOW PARTNER PATH
NO....................................................2 → FOLLOW SINGLE PATH
DON’T KNOW......................... F8 → FOLLOW SINGLE PATH
REFUSED............................... F9 → FOLLOW SINGLE PATH

SINGLE PATH

IF R HAS NEVER WORKED FOR PAY AND IS SINGLE → BEGIN SECTION AT H9
IF R HAS NEVER WORKED FOR PAY AND HAS A [PARTNER] → SKIP TO H5
IF R REPORTED WORKING IN 2012 → BEGIN AT H2
ALL OTHERS → BEGIN AT H1

H1. The next questions are about your income and assets.

Did you work at a job or business for pay at any time during 2012?

YES…………………1 → GO TO H2
NO…………………2 → GO TO SKIP BOX 9
DK……………….F8 → GO TO SKIP BOX 9
RF……………….F7 → GO TO SKIP BOX 9

H2. [If H1 was skipped] The next questions are about your income and assets. Previously, you told us you worked during 2012. In 2012, how many weeks did you work even for a few hours? Include paid vacation and sick leave as work.

[If H1 was asked] During 2012, how many weeks did you work even for a few hours? Include paid vacation and sick leave as work.

WEEKS: ___________
DK/RF
H3. During the weeks that you worked in 2012, how many hours did you usually work per week?

HOURS: ____________

DK/RF

H4. In 2012, about how much did you receive in wages, salaries, commissions and tips in total before any taxes or deductions?

If you had more than one job, please tell me your income from all jobs together.

COMMENT BOX:

YEARLY AMOUNT: ________________ → GO TO SKIP BOX 9

DK YEARLY AMOUNT, BUT GOOD COMMENT ...................... F7 → GO TO SKIP BOX 9
DK AND NO COMMENT ............................................. F8 → H4PR
RF ................................................................................. F9 → H4PR

H4PR. If you would rather give your wages, salaries, commissions and tips in ranges, was it:

Less than $65,000 or $65,000 or more? ......................................................... 2 → GO TO H4PR3
DK.................................................................................. F8 → GO TO SKIP BOX 9
REFUSE........................................................................... F9 → GO TO SKIP BOX 9

H4PR2. Was it...

Less than $20,000......................................................... 1 → GO TO SKIP BOX 9
20,000, but less than $35,000........................................ 2 → GO TO SKIP BOX 9
35,000, but less than $50,000........................................ 3 → GO TO SKIP BOX 9
50,000, but less than $65,000........................................ 4 → GO TO SKIP BOX 9
DK.................................................................................. F8 → GO TO SKIP BOX 9
REFUSE........................................................................... F9 → GO TO SKIP BOX 9

H4PR3. Was it...

65,000, but less than $80,000........................................ 1 → GO TO SKIP BOX 9
80,000, but less than $100,000........................................ 2 → GO TO SKIP BOX 9
100,000, but less than $120,000........................................ 3 → GO TO SKIP BOX 9
$120,000 or more? ......................................................... 4 → GO TO SKIP BOX 9
DK.................................................................................. F8 → GO TO SKIP BOX 9
REFUSE........................................................................... F9 → GO TO SKIP BOX 9
H5. The next questions are about your income and assets. I will ask about you and also about your [partner]

Did your [partner] work at a job or business for pay at any time during 2012?

YES………………….1 ➔ GO TO H6
NO………………….2 ➔ GO TO H9
DK…………………….1 ➔ GO TO H9
RF……………………...F7 ➔ GO TO H9

H6. During 2012, how many weeks did your [partner] work even for a few hours? Include paid vacation and sick leave as work.

WEEKS: _____________
DK/RF

H7. In the weeks that your [partner] worked in 2012, how many hours did [he/she] usually work per week?

HOURS: _____________
DK/RF

H8. In 2012, about how much did your [partner] receive in wages, salaries, commissions and tips in total before any taxes or deductions?
If [he/she] had more than one job, please tell me the income from all jobs together.

COMMENT BOX: __________________________
YEARLY AMOUNT: ______________________ ➔ H9
DK YEARLY AMOUNT, BUT GOOD COMMENT ………………………………….. F7 ➔ H9
DK AND NO COMMENT ……………………………….. F8 ➔ H8PR
RF ………………………………………………………………. F9 ➔ H8PR
H8PR. If you would rather give your partner’s wages, salaries, commissions and tips in ranges, was it:

- Less than $65,000 or ........................................1 → GO TO H8PR2
- 65,000 or more? ........................................2 → GO TO H8PR3
- DK..........................................................F8   → GO TO H9
- REFUSE..................................................F9   → GO TO H9

H8PR2. Was it...

- Less than $20,000 ........................................1 → GO TO H9
- 20,000, but less than $35,000 .........................2 → GO TO H9
- 35,000, but less than $50,000 .........................3 → GO TO H9
- 50,000, but less than $65,000 .........................4 → GO TO H9
- DK..........................................................F8   → GO TO H9
- REFUSE..................................................F9   → GO TO H9

H8PR3. Was it...

- 65,000, but less than $80,000 .........................1
- 80,000, but less than $100,000 .......................2
- 100,000, but less than $120,000 .....................3
- $120,000 or more? ....................................4
- DK..........................................................F8
- REFUSE..................................................F9

H9. [If never worked for pay and is single] The next questions are about your income and assets. In 2012, did you receive any of the following:

Income from a business, professional practice, partnership, or farm?

- YES ......................................1
- NO ........................................4
- DON’T KNOW .........................F8
- REFUSED ..............................F9

[All others] Now I would like to ask about other income you may have received. In 2012, did you receive any of the following:

Income from a business, professional practice, partnership, or farm?

- YES ......................................1
- NO ........................................4
- DON’T KNOW .........................F8
- REFUSED ..............................F9
H10. Income from interest, dividends, or other investments?
   YES ......................................1
   NO ........................................4
   DON’T KNOW ............... F8
   REFUSED ............................ F9

H11. Benefits or survivor benefits from any pension plans, annuities, or Social Security?
   YES ......................................1
   NO ........................................4
   DON’T KNOW ............... F8
   REFUSED ............................ F9

H12. In 2012, did you receive any income from child support or alimony?
   YES ......................................1
   NO ........................................4
   DON’T KNOW ............... F8
   REFUSED ............................ F9

H13. Unemployment compensation?
   YES ......................................1
   NO ........................................4
   DON’T KNOW ............... F8
   REFUSED ............................ F9

H14. Worker’s compensation?
   YES ......................................1
   NO ........................................4
   DON’T KNOW ............... F8
   REFUSED ............................ F9

H15. Disability payments?
   YES ......................................1
   NO ........................................4
   DON’T KNOW ............... F8
   REFUSED ............................ F9

H16. In 2012, did you receive any veteran’s benefits?
   YES ......................................1
   NO ........................................4
   DON’T KNOW ............... F8
   REFUSED ............................ F9
H17. Supplemental security income?

YES ......................................1
NO ........................................4
DON´T KNOW ..................... F8
REFUSED .............................. F9

H18. Public assistance income (including welfare, TANF, food stamps, SNAP, or housing assistance)?

YES ......................................1
NO ........................................4
DON´T KNOW ................. F8
REFUSED .............................. F9

H19. Income in the form of gifts, lump sum payments, or inheritances?

YES ......................................1
NO ........................................4
DON´T KNOW ................. F8
REFUSED .............................. F9

IF “NO”, DK or RF TO ALL INCOME QUESTIONS, H9-H19=4 → GO TO SKIPBOX 9A

ALL OTHERS → ASK19.1

H19.1 I have recorded that you received income from the following sources in 2012:

- Income from a business, professional practice, partnership, or farm
- Income from interest, dividends, or other investments
- Benefits or survivor benefits from any pension plans, annuities, or Social Security
- Income from child support or alimony
- Unemployment compensation
- Worker’s compensation
- Disability payments
- Veteran’s benefits
- Supplemental security income
- Public assistance income [including welfare, TANF, food stamps, SNAP, or housing assistance]
- Income in the form of gifts, lump sum payments, or inheritances
[If more than one other source of income from H9-H19] All together about how much income did you receive from all these other income sources in 2012?

[If only one other source of income from H9-H19] All together about how much income did you receive from this other income source in 2012?

Please give me your best estimate

COMMENT BOX: __________________________

AMOUNT: ______________

DK AMOUNT,
BUT GOOD COMMENT ........................................ F7 → GO TO H19.3

DK AND NO COMMENT ........................................ F8 → GO TO H19.2

RF ................................................................. F9 → GO TO H19.2

---

**SKIP BOX 9A**

IF DOES NOT HAVE A 2012 SALARY & HAS OTHER INCOME → GO TO H20

IF DOES NOT HAVE A 2012 SALARY & DOES NOT KNOW OR REFUSES TO TELL OTHER INCOME → GO TO H19.3

IF REPORTED A 2012 SALARY, BUT NO OTHER INCOME (H9-H19 = NO, DK, RF); → GO TO H20

IF REPORTED A 2012 SALARY AND OTHER INCOME → GO TO H19.2

ALL ELSE WHERE SALARY OR OTHER INCOME WERE BOTH REPORTED AND ARE MISSING → GO TO H19.3

IF HAS NEVER WORKED FOR PAY AND HAS NO OTHER INCOME (H9-H19 = NO, DK, RF) → GO TO H19.3

---

**H19.2** Adding your 2012 salary and other sources of income together comes to $[Total Income]. Does this sound right to you?

YES ..............................................1 → GO TO H20

NO ..............................................2 → GO TO H19.3

DON’T KNOW ...................... F8 → GO TO H19.3

REFUSED ......................... F9 → GO TO H19.3

---

**H19.3** Considering all sources of income that you had in 2012 (including salary and any other sources of income), what was your total 2012 income?

Please give me your best estimate

AMOUNT: ______________

DK/RF
H20. In 2012, did anyone in your household other than you receive income from any source?

YES ...........................................1 → GO TO H20.1
NO .........................................4 → GO TO H21
DON'T KNOW ..................... F8 → GO TO H21
REFUSED ............................. F9 → GO TO H21

H20.1 In 2012, how much income, in total, did all household members other than you receive before taxes and deductions?

Please give me your best estimate

AMOUNT: ____________
DK/RF

H21. Do you own your home, rent it, or something else?

OWN .......................................................... 1 → GO TO H23
RENT .......................................................... 2 → GO TO H22
LIVE HERE FOR FREE ....................... 3 → GO TO H26
OTHER ....................................................... 4 → GO TO H26
DON'T KNOW ............................. F8 → GO TO H26
REFUSED .............................................. F7 → GO TO H26

H22. How much do you pay in rent per month?

AMOUNT: ____________ → GO TO H26
DK/RF

H23. Without taking into account any outstanding loans, how much do you think your home would sell for now?

Please give me your best estimate for the value of your property; that is, how much you think the property would sell for if it were on the market.

[IF R OWNS MORE THAN ONE HOME, ASK ABOUT THE “PRIMARY RESIDENCE.”]


FOR MOBILE HOMES – INCLUDE THE VALUE OF THE MOBILE HOME AND VALUE OF THE LAND ONLY IF THE LAND IS OWNED.]

AMOUNT: ____________ → GO TO H23PR
DK/RF
H23PR. If you would rather give the value of your home in ranges, is it:
Less than $200,000 or ................................................1 → GO TO H23PR2
200,000 or more? .......................................................2 → GO TO H23PR3
DK .................................................................F8 → GO TO H24
REFUSE ...............................................................F9 → GO TO H24

H23PR2. Is it...
Less than $80,000 ......................................................1 → GO TO H24
80,000, but less than $125,000 ...................................2 → GO TO H24
125,000, but less than $175,000.................................3 → GO TO H24
175,000, but less than $200,000 ...............................4 → GO TO H24
DK .................................................................F8 → GO TO H24
REFUSE ...............................................................F9 → GO TO H24

H23PR3. Is it...
200,000, but less than $250,000 ...................................1
250,000, but less than $400,000 ...............................2
400,000, but less than $750,000 ...............................3
$750,000 or more? ....................................................4
DK .................................................................F8 → GO TO H24
REFUSE ...............................................................F9 → GO TO H24

H24. Do you have any mortgages, home equity loans, home equity lines of credit or any other loans against your home?
YES .......................................................1 → GO TO H25
NO .........................................................2 → GO TO H26
DON”T KNOW ..................................................F8 → GO TO H26
REFUSED .........................................................F9 → GO TO H26

H25. How much do you currently owe on all such loans?
Please give me your best estimate.
AMOUNT: ___________
DK/RF

H25a. How much do you pay per month on all such loans?
Please give me your best estimate.
AMOUNT: ___________
DK/RF
H26. Do you have any IRAs, 401ks, profit sharing accounts, or any other retirement plans that accumulate an account balance?

Don’t include your pension

YES ......................................1  →  GO TO H27
NO ........................................4  →  GO TO H28
DON’T KNOW ..........................F8  →  GO TO H28
REFUSED ...............................F9  →  GO TO H28

H27. If you added up all of your retirement plans that accumulate an account balance, about how much would they amount to right now?

Please give me your best estimate.

AMOUNT:  ____________
DK/RF

H28. Do you have any checking, saving or other bank accounts or any investments in stocks, bonds or mutual funds that I have not already recorded?

YES ......................................1  →  GO TO H29
NO ........................................2  →  GO TO H30
DON’T KNOW ..........................F8  →  GO TO H30
REFUSED ...............................F9  →  GO TO H30

H29. How much in total do you have in such assets?

Please give me your best estimate.

[By assets, we mean any checking, saving or other bank accounts or any investments in stocks, bonds or mutual funds that have not already been recorded]

AMOUNT:  ____________
DK/RF

H30. Do you own or share ownership in any other investments, such as businesses, farms or real estate?

YES ......................................1  →  GO TO H31
NO ........................................2  →  GO TO H32
DON’T KNOW ..........................F8  →  GO TO H32
REFUSED ...............................F9  →  GO TO H32

H31. How much is your share of such assets worth, after accounting for any money you may owe against it?

Please give me your best estimate.

AMOUNT:  ____________
DK/RF
H32. [If have any mortgages, home equity loans, home equity lines of credit or any other loans against your home] Aside from your mortgage or home equity borrowing that you already told me about, do you owe any money for another purpose – on credit cards, a car loan, an education loan, child support or alimony, another mortgage or home equity loan, or anything else?

Don’t include credit card balances that you paid off each month.

[If don’t have any mortgages, home equity loans, home equity lines of credit or any other loans against your home, don’t know, or refused] Do you owe any money—on credit cards, a car loan, an education loan, child support or alimony, a mortgage or home equity loan, or anything else?

Don’t include credit card balances that you paid off each month.

YES ......................................1 \[GO TO H33
NO ........................................2 \[GO TO H36
DON’T KNOW .................... F8 \[GO TO H36
REFUSED ........................... F9 \[GO TO H36

H33. How much in total is currently owed?

Please give me your best estimate.

Don’t include credit card balances that you paid off each month.

AMOUNT: ____________
DK/RF

H34. How much do you usually have to pay monthly on these loans?

Please give me your best estimate.

Don’t include credit card balances that you paid off each month.

AMOUNT: ____________
DK/RF

\[GO TO H36
H1. The next questions are about your income and assets. I will ask about you and also about your [partner].

Did you work at a job or business for pay at any time during 2012?

YES…………………1 ➔ GO TO H2
NO………………… 2 ➔ GO TO H5
DK…………………F8 ➔ GO TO H5
RF………………… F7 ➔ GO TO H5

H2. [If H1 was skipped] The next questions are about your income and assets. Previously, you told us you worked during 2012. In 2012, how many weeks did you work even for a few hours? Include paid vacation and sick leave as work.

[If H1 was asked] During 2012, how many weeks did you work even for a few hours? Include paid vacation and sick leave as work.

WEEKS: ____________
DK/RF

H3. During the weeks that you worked in 2012, how many hours did you usually work per week?

HOURS: ____________
DK/RF

H4. In 2012, about how much did you receive in wages, salaries, commissions and tips in total before any taxes or deductions?

If you had more than one job, please tell me your income from all jobs together.

COMMENT BOX: __________________________
YEARLY AMOUNT: ______________________________ ➔ GO TO H5
DK YEARLY AMOUNT, BUT GOOD COMMENT ………………………… F7 ➔ GO TO H5
DK AND NO COMMENT ………………………… F8 ➔ H4PR
RF …………………………………………………………… F9 ➔ H4PR
H4PR. If you would rather give your wages, salaries, commissions and tips in ranges, was it:

Less than $65,000 or ........................................1 → GO TO H4PR2
65,000 or more? ..........................................2 → GO TO H4PR3
DK .......................................................F8 → H5
REFUSE ..................................................F9 → H5

H4PR2. Was it...

Less than $20,000 ........................................1 → H5
20,000, but less than $35,000 .........................2 → H5
35,000, but less than $50,000 .........................3 → H5
50,000, but less than $65,000 .........................4 → H5
DK .......................................................F8 → H5
REFUSE ..................................................F9 → H5

H4PR3. Was it...

65,000, but less than $80,000 .........................1
80,000, but less than $100,000 ......................2
100,000, but less than $120,000 .....................3
$120,000 or more? ....................................4
DK .......................................................F8 → H5
REFUSE ..................................................F9 → H5

H5. [If never worked for pay] The next questions are about your income and assets. I will ask about you and also about your [partner].

[Others] Did your [partner] work at a job or business for pay at any time during 2012?

YES ......................1 → GO TO H6
NO ......................2 → GO TO H9
DK ......................F8 → GO TO H9
RF ......................F7 → GO TO H9

H6. During 2012, how many weeks did your [partner] work even for a few hours? Include paid vacation and sick leave as work.

WEEKS: ____________

DK/RF

H7. In the weeks that your [partner] worked in 2012, how many hours did [he/she] usually work per week?

HOURS: ____________

DK/RF
H8. In 2012, about how much did your [partner] receive in wages, salaries, commissions and tips in total before any taxes or deductions?

If [he/she] had more than one job, please tell me the income from all jobs together.

COMMENT BOX: __________________________ 
YEARLY AMOUNT: __________________________  → H9
DK YEARLY AMOUNT, BUT GOOD COMMENT ........................................ F7  → H9
DK AND NO COMMENT .................................................. F8  → H8PR
RF ........................................................................... F9  → H8PR

H8PR. If you would rather give your [partner]’s wages, salaries, commissions and tips in ranges, was it:

Less than $65,000 or ................................................. 1  → GO TO H8PR2
65,000 or more? ....................................................... 2  → GO TO H8PR3
DK................................................................. F8  → GO TO H9
REFUSE......................................................... F9  → GO TO H9

H8PR2. Was it...

Less than $20,000 ................................................... 1  → GO TO H9
20,000, but less than $35,000......................... 2  → GO TO H9
35,000, but less than $50,000......................... 3  → GO TO H9
50,000, but less than $65,000......................... 4  → GO TO H9
DK................................................................. F8  → GO TO H9
REFUSE......................................................... F9  → GO TO H9

H8PR3. Was it...

65,000, but less than $80,000......................... 1
80,000, but less than $100,000......................... 2
100,000, but less than $120,000......................... 3
$120,000 or more? ................................................. 4
DK................................................................. F8
REFUSE......................................................... F9
H9. Now I would like to ask about other income you may have received. In 2012, did you, your [partner] or both of you receive any of the following:

Income from a business, professional practice, partnership, or farm?

Would that be you, your [partner], or both of you?

ONLY R.......................................................1
ONLY [PARTNER].................................2
BOTH R AND [PARTNER]....................3
NEITHER.................................................4
DON’T KNOW..........................................F8
REFUSED...............................................F9

H10. Income from interest, dividends, or other investments?

Would that be you, your [partner], or both of you?

ONLY R.......................................................1
ONLY [PARTNER].................................2
BOTH R AND [PARTNER]....................3
NEITHER.................................................4
DON’T KNOW..........................................F8
REFUSED...............................................F9

H11. Benefits or survivor benefits from any pension plans, annuities, or Social Security?

Would that be you, your [partner], or both of you?

ONLY R.......................................................1
ONLY [PARTNER].................................2
BOTH R AND [PARTNER]....................3
NEITHER.................................................4
DON’T KNOW..........................................F8
REFUSED...............................................F9

H12. In 2012, did you, your [partner] or both of you receive any income from child support or alimony?

Would that be you, your [partner], or both of you?

ONLY R.......................................................1
ONLY [PARTNER].................................2
BOTH R AND [PARTNER]....................3
NEITHER.................................................4
DON’T KNOW..........................................F8
REFUSED...............................................F9
H13. Unemployment compensation?
Would that be you, your [partner], or both of you?
- ONLY R .......................................................1
- ONLY [PARTNER] .........................................2
- BOTH R AND [PARTNER] ............................3
- NEITHER ....................................................4
- DON’T KNOW ........................................ F8
- REFUSED .................................................. F9

H14. Worker’s compensation?
Would that be you, your [partner], or both of you?
- ONLY R .......................................................1
- ONLY [PARTNER] .........................................2
- BOTH R AND [PARTNER] ............................3
- NEITHER ....................................................4
- DON’T KNOW ........................................ F8
- REFUSED .................................................. F9

H15. Disability payments?
Would that be you, your [partner], or both of you?
- ONLY R .......................................................1
- ONLY [PARTNER] .........................................2
- BOTH R AND [PARTNER] ............................3
- NEITHER ....................................................4
- DON’T KNOW ........................................ F8
- REFUSED .................................................. F9

H16. In 2012, did you, your [partner], or both of you receive any veteran’s benefits?
Would that be you, your [partner], or both of you?
- ONLY R .......................................................1
- ONLY [PARTNER] .........................................2
- BOTH R AND [PARTNER] ............................3
- NEITHER ....................................................4
- DON’T KNOW ........................................ F8
- REFUSED .................................................. F9
H17. Supplemental security income?

Would that be you, your [partner], or both of you?

- ONLY R .......................................................1
- ONLY [PARTNER] ........................................2
- BOTH R AND [PARTNER] ..........................3
- NEITHER ....................................................4
- DON’T KNOW ........................................... F8
- REFUSED ................................................... F9

H18. Public assistance income (including welfare, TANF, food stamps, SNAP, or housing assistance)?

Would that be you, your [partner], or both of you?

- ONLY R .......................................................1
- ONLY [PARTNER] ........................................2
- BOTH R AND [PARTNER] ..........................3
- NEITHER ....................................................4
- DON’T KNOW ........................................... F8
- REFUSED ................................................... F9

H19. Income in the form of gifts, lump sum payments, or inheritances?

Would that be you, your [partner], or both of you?

- ONLY R .......................................................1
- ONLY [PARTNER] ........................................2
- BOTH R AND [PARTNER] ..........................3
- NEITHER ....................................................4
- DON’T KNOW ........................................... F8
- REFUSED ................................................... F9

IF “NO” TO ALL INCOME QUESTIONS, H9-H19=4, DK, RF  GO TO SKIP BOX9A

ALL OTHERS  GO TO H19.1
H19.1 I have recorded that you and your [PARTNER] received income from the following sources in 2012:

- Income from a business, professional practice, partnership, or farm
- Income from interest, dividends, or other investments
- Benefits or survivor benefits from any pension plans, annuities, or Social Security
- Income from child support or alimony
- Unemployment compensation
- Worker’s compensation
- Disability payments
- Veteran’s benefits
- Supplemental security income
- Public assistance income [including welfare, TANF, food stamps, SNAP, or housing assistance]
- Income in the form of gifts, lump sum payments, or inheritances

[If more than one other source of income from H9-H19] All together about how much income did you and your [PARTNER] receive from all these other income sources in 2012?

[If more only one other source of income from H9-H19] All together about how much income did you and your [PARTNER] receive from this other income source in 2012?

Please give me your best estimate

COMMENT BOX:  
AMOUNT:  

DK AMOUNT,  
BUT GOOD COMMENT ........................................ F7  ➔ GO TO H19.3  
DK AND NO COMMENT ...................................... F8  ➔ GO TO H19.2  
RF ................................................................. F9  ➔ GO TO H19.2
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<th>R Salary F5INC12</th>
<th>Partner has 2012 Salary</th>
<th>Partner Salary F5SPWG12</th>
<th>Other Income Reported H9-19</th>
<th>Other Income Amount F5OTHINC H19.1</th>
<th>TOT12INC Calculation</th>
<th>Go To ...</th>
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<td>Yes (1) Amount</td>
<td>Yes (1, 2, 3) Amount</td>
<td>Yes (1, 2, 3) Amount</td>
<td>F5INC12 + F5SPWG12 + F5OTHINC</td>
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<tr>
<td>Yes (1) Amount</td>
<td>No (2, DK, RF)</td>
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<td>Yes (1, 2, 3) Amount</td>
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<td>Yes (1) Amount</td>
<td>Yes (1, 2, 3) Amount</td>
<td>F5SPWG12 + F5OTHINC</td>
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<td>No (2, DK, RF) NA</td>
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<td>F5OTHINC</td>
<td>H20</td>
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<td>No (2, DK, RF) NA</td>
<td>No (4, DK, RF) NA</td>
<td>Leave Blank</td>
<td>H19.3</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*Amount also includes if R has selected a range. Range values have been inputted and will be included in TOT12INC. Appendix A lists the inputted values.

H19.2

READ1. Adding your 2012 salary, your [PARTNER]'s 2012 salary, and your other sources of income together comes to $[Total income]. Does this sound right to you?

READ2. Adding your 2012 salary and your other sources of income together comes to $ [Total income]. Does this sound right to you?

READ3. Adding your [PARTNER]'s 2012 salary and your other sources of income together comes to $[Total income]. Does this sound right to you?

YES ......................................1 → GO TO H20
NO ........................................2 → GO TO H19.3
DON'T KNOW ............... F8 → GO TO H19.3
REFUSED ....................... F9 → GO TO H19.3

H19.3  Considering all sources of income that you and your [PARTNER] had in 2012 (including salary and any other sources of income), what was your total 2012 income?

Please give me your best estimate

AMOUNT: ______________

DK/RF

H20.  In 2012, did anyone in your household other than you or your [partner] receive income from any source?

YES ......................................1 → GO TO H20.1
NO ........................................2 → GO TO H21
DON'T KNOW ............... F8 → GO TO H21
REFUSED ....................... F9 → GO TO H21
H20.1 In 2012, how much income, in total, did all household members other than you or your [partner] receive before taxes and deductions?

Please give me your best estimate.

AMOUNT:  __________
DK/RF

H21. Do you and your [partner] own your home, rent it, or something else?

OWN .......................................................... 1  →  GO TO H23
RENT .......................................................... 2  →  GO TO H22
LIVE HERE FOR FREE ............................... 3  →  GO TO H22
OTHER .......................................................... 4  →  GO TO H26
DON’T KNOW ........................................ F8  →  GO TO H26
REFUSED ....................................................... F7  →  GO TO H26

H22. How much do you pay in rent per month?

AMOUNT:  __________
DK/RF

→  GO TO H26

H23. Without taking into account any outstanding loans, how much do you think your home would sell for now?

Please give me your best estimate for the value of your property; that is, how much you think the property would sell for if it were on the market.

[IF R OWNS MORE THAN ONE HOME, ASK ABOUT THE “PRIMARY RESIDENCE.”]


FOR MOBILE HOMES – INCLUDE THE VALUE OF THE MOBILE HOME AND VALUE OF THE LAND ONLY IF THE LAND IS OWNED.]

AMOUNT:  __________→  GO TO H24
DK/RF →  →  GO TO H23PR
H23PR. If you would rather give the value of your home in ranges, is it:

Less than $200,000 or .................................1  → GO TO H23PR2
200,000 or more? ........................................2  → GO TO H23PR3
DK..........................................................F8  → GO TO H24
REFUSE....................................................F9  → GO TO H24

H23PR2. Is it...

Less than $80,000 .................................1  → GO TO H24
80,000, but less than $125,000.......................2  → GO TO H24
125,000, but less than $175,000.....................3  → GO TO H24
175,000, but less than $200,000.................4  → GO TO H24
DK..........................................................F8  → GO TO H24
REFUSE....................................................F9  → GO TO H24

H23PR3. Is it...

200,000, but less than $250,000....................1
250,000, but less than $400,000....................2
400,000, but less than $750,000....................3
$750,000 or more? .................................4
DK..........................................................F8
REFUSE....................................................F9

H24. Do you and your [partner] have any mortgages, home equity loans, home equity lines of credit or any other loans against your home?

YES.................................................1  → GO TO H25
NO....................................................2  → GO TO H26
DON’T KNOW..........................F8  → GO TO H26
REFUSED.................................F9  → GO TO H26

H25. How much do you currently owe on all such loans?

Please give me your best estimate

AMOUNT: _____________
DK/RF

H25a. How much do you pay per month on all such loans?

Please give me your best estimate

AMOUNT: _____________
DK/RF
H26. Thinking about just yourself, and not your [partner], do you have your own IRAs, 401ks, profit sharing accounts, or any other retirement plans that accumulate an account balance?

Don’t include your pension

YES .............................................1 → GO TO H27
NO ..........................................2 → GO TO H26.1
DON’T KNOW .......................... F8 → GO TO H26.1
REFUSED .............................. F9 → GO TO H26.1

H27. Again, thinking about just your own retirement plans, if you added up all of your retirement plans that accumulate an account balance, about how much would they amount to right now?

Please give me your best estimate

AMOUNT:  
DK/RF

H26.1 Now, thinking about your [partner], does [he/she] have any IRAs, 401ks, profit sharing accounts, or any other retirement plans that accumulate an account balance? Or do you and your [partner] have any joint IRAs, 401ks, profit sharing accounts, or any other retirement plans?

I’m asking about retirement accounts other than the accounts that are just in your name

YES .............................................1 → GO TO H27.1
NO ..........................................2 → GO TO H28
DON’T KNOW .......................... F8 → GO TO H28
REFUSED .............................. F9 → GO TO H28

H27.1 Thinking about your [partner]’s retirement plans and any joint retirement plans you might have, if you added up all of these retirement plans that accumulate an account balance, about how much would they amount to right now?

Please give me your best estimate

AMOUNT:  
DK/RF

H28. Do you and your [partner] have any checking, saving or other bank accounts or any investments in stocks, bonds or mutual funds that I have not already recorded?

YES .............................................1 → GO TO H29
NO ..........................................2 → GO TO H30
DON’T KNOW .......................... F8 → GO TO H30
REFUSED .............................. F9 → GO TO H30
H29. **How much in total do you and your [partner] have in such assets?**

Please give me your best estimate

[By assets, we mean any checking, saving or other bank accounts or any investments in stocks, bonds or mutual funds that have not already been recorded.]

AMOUNT: ____________

DK/RF

H30. **Do you and your [partner] own or share ownership in any other investments, such as businesses, farms or real estate?**

YES ......................................1 ➞ GO TO H31

NO ..........................................2 ➞ GO TO H32

DON’T KNOW ................. F8 ➞ GO TO H32

REFUSED ......................... F9 ➞ GO TO H32

H31. **How much is your and your [partner]’s share of such assets worth, after accounting for any money you may owe against it?**

Please give me your best estimate

AMOUNT: ____________

DK/RF

H32. **Aside from your mortgage or home equity borrowing that you already told me about, do you and your [partner] owe any money for another purpose – on credit cards, a car loan, an education loan, child support or alimony, another mortgage or home equity loan, or anything else?**

Don’t include credit card balances that you paid off each month.

[If have any mortgages, home equity loans, home equity lines of credit or any other loans against your home] **Do you and your [partner] owe any money—on credit cards, a car loan, an education loan, child support or alimony, a mortgage or home equity loan, or anything else?**

Don’t include credit card balances that you paid off each month.

YES ......................................1 ➞ GO TO H33

NO ..........................................2 ➞ GO TO H35

DON’T KNOW ................. F8 ➞ GO TO H35

REFUSED ......................... F9 ➞ GO TO H35
H33. How much in total is currently owed?
    Please give me your best estimate.
    Don’t include credit card balances that you paid off each month.
    AMOUNT: ____________
    DK/RF

H34. How much do you usually have to pay monthly on these loans?
    Please give me your best estimate.
    Don’t include credit card balances that you paid off each month.
    AMOUNT: ____________
    DK/RF

H35. Would you say it is you or your [partner] who knows the most about your household’s assets, debts and retirement plans?

    Me .................................1
    My [partner] .....................2
    Equal ............................3
    DK ..................................F8
    RF .................................F7

10.2 SAVINGS/FINANCIAL LITERACY/RISK MODULE

H36. In a typical month, how difficult is it for you to cover your expenses and pay all your bills? Is it very difficult, somewhat difficult, or not at all difficult?

    VERY DIFFICULT ....................1
    SOMEWHAT DIFFICULT .............2
    NOT AT ALL DIFFICULT ............3
    DON’T KNOW
    PREFER NOT TO SAY/RF

H37. How confident are you that you could come up with $2,000 in the next month if an unexpected need arose? Would you say that you could definitely, probably, probably not or definitely not come up with the money?

    DEFINITELY ..........1
    PROBABLY .................2
    PROBABLY NOT ..............3
    DEFINITELY NOT ..........4
    DON’T KNOW
    PREFER NOT TO SAY/RF
H38. In the past year, how many times have you taken out a short term “payday” loan or used a pawn shop?

NUMBER OF TIMES: ______
DK/REF

H39. Have you ever tried to figure out how much you need to save for retirement?

YES………………………………..1
NO………………………………..2
DON’T KNOW
PREFER NOT TO SAY

H40. Are you currently saving for your retirement?

YES…………………….1   ➔  GO TO H41
NO…………………….2   ➔  GO TO H42
DON’T KNOW   ➔  GO TO H42
PREFER NOT TO SAY   ➔  GO TO H42

H41. At what age did you start saving for your retirement?

AGE: ____________
DK/RF

10.4 RISK AVERSION

H46. When thinking of your financial investments, how willing are you to take risks? Please use a 10-point scale, where 1 means “Not At All Willing” and 10 means “Very Willing.”

RANGE: 1-10
DON’T KNOW
REFUSED
11. SECTION I - DEMOGRAPHICS

I1. What is your citizenship status? Are you a U.S. citizen since birth, a naturalized U.S. citizen, or a non-U.S. citizen (with a permanent or temporary visa)?

   U.S. CITIZEN, SINCE BIRTH .....................1 → GO TO I3
   NATURALIZED U.S. CITIZEN ....................2 → GO TO I2
   NON-U.S. CITIZEN ..............................3 → GO TO I2
   DON’T KNOW .....................................F8 → GO TO I3
   REFUSED ...........................................F7 → GO TO I3

I2. In what country were you born?

   COUNTRY: ________________________________
   DON’T KNOW .....................................F8 → GO TO I3
   REFUSED ...........................................F7 → GO TO I3

I2.1 [If selects abroad, other as country born] Please tell me where you were born?

   COUNTRY: ________________________________
   DON’T KNOW .....................................F8
   REFUSED ...........................................F7

I3. In what country or U.S. territory was your mother born?

   MOTHER PLACE OF BIRTH: ________________
   DON’T KNOW .....................................F8 → GO TO I4
   REFUSED ...........................................F7 → GO TO I4

I3.1 [If selects abroad, other as country born] Please tell me where you mother was born.

   MOTHER PLACE OF BIRTH: ________________
   DON’T KNOW .....................................F8
   REFUSED ...........................................F7

I4. In what country or U.S. territory was your father born?

   FATHER PLACE OF BIRTH: ________________
   DON’T KNOW .....................................F8 → GO TO I4
   REFUSED ...........................................F7 → GO TO I4
I4.1  [If selects abroad, other as country born] Please tell me where you father was born.

FATHER PLACE OF BIRTH: __________________________
DON’T KNOW............................................F8
REFUSED....................................................F7

I5.  What was the first language you learned to speak?

ENGLISH ......................................................1  →  SKIP TO I10
SPANISH......................................................2  →  GO TO I6
ENGLISH AND SPANISH EQUALLY ......3  →  GO TO I6
ENGLISH AND ANOTHER
LANGUAGE EQUALLY .......................4  →  GO TO I5.1
ANOTHER LANGUAGE .......................5  →  GO TO I5.2
DON’T KNOW.................................F8  →  GO TO I6
REFUSED....................................................F7  →  GO TO I6

I5.1  Besides English, please specify the other language you first learned to speak.

LANGUAGE: __________________________  →  GO TO I6
DON’T KNOW.............................................F8  →  GO TO I6
REFUSED....................................................F7  →  GO TO I6

I5.2  Please tell me the language you first learned to speak.

LANGUAGE: __________________________
DON’T KNOW.............................................F8
REFUSED....................................................F7

I6.  What language do you speak most at home now?

ENGLISH ...................................................... 1  →  GO TO I10
SPANISH...................................................... 2  →  GO TO I10
ENGLISH AND SPANISH EQUALLY ......3  →  GO TO I10
ENGLISH AND ANOTHER
LANGUAGE EQUALLY .......................4  →  GO TO I6.1
ANOTHER LANGUAGE .......................7  →  GO TO I6.2
DON’T KNOW.................................F8  →  GO TO I10
REFUSED....................................................F7  →  GO TO I10
I6.1 Besides English, please specify the other language you speak most at home now

LANGUAGE: ___________________________ → GO TO I10
DON’T KNOW………………………….. F8 → GO TO I10
REFUSED…………………………………… F7 → GO TO I10

I6.2 Please specify the language you speak most at home now.

LANGUAGE: ___________________________
DON’T KNOW…………………………..F8
REFUSED…………………………………… F7

I10 Do you think of yourself as…?

Lesbian or gay………………………………..1
Straight, that is, not gay ………………………2
Bisexual, or ……………………………………..3
Something else ………………………………..4
DON’T KNOW……………………………… F8
REFUSED …………………………………….. F7