# ARYAN MOKHTARI

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#### RESEARCH INTERESTS

**Machine Learning:** Representation Learning, Multi-task Learning, Contrastive Learning, In-Context Learning, Federated Learning.

**Optimization Theory and Algorithms:** Convex and Nonconvex Optimization, Minimax Optimization, Bilevel Optimization, Parameter-free and Adaptive Optimization.

#### **APPOINTMENTS**

# **Department of Electrical and Computer Engineering, UT Austin**Austin, TX

Assistant Professor August 2019 - Present

Jack Kilby/Texas Instruments Endowed Faculty Fellow in Computer Engineering

### Laboratory for Information and Decision Systems (LIDS), MIT Cambridge, MA

Postdoctoral Associate January 2018 - July 2019

Hosts: Prof. Asu Ozdaglar and Prof. Ali Jadbabaie

# Simons Institute for the Theory of Computing, UC Berkeley Berkeley, CA

Research Fellow August 2017 - December 2017

Program: "Bridging Continuous and Discrete Optimization"

#### **EDUCATION**

University of Pennsylvania	Philadelphia, PA
Ph.D. in Electrical & Systems Engineering	August 2017

Advisor: Prof. Alejandro Ribeiro

# University of Pennsylvania (The Wharton School) Philadelphia, PA

A.M. in Statistics August 2017

University of PennsylvaniaPhiladelphia, PAM.Sc. in Electrical EngineeringMay 2014

Sharif University of Technology Tehran, Iran

B.Sc. in Electrical Engineering June 2011

### **HONORS** and AWARDS

Google Research Scholar Award	2024

• NSF CAREER Award 2024

• IEEE Senior Member 2024

• UT Austin ECE Junior Faculty Excellence in Teaching Award 2023

• Member of \$20M NSF AI Institute of Foundations of Machine Learning (IFML) 2022-present

• Member of \$20M NSF AI Inst. for Future Edge Networks & Distributed Intelligence (AI-EDGE) 2021-present

• Texas Instruments/Kilby Fellow 2021-present

• ARO Early Career Program Award (Previously known as ARO YIP Award) 2021

• And Early Career Program Award (Previously known as Arto III Award)

• Joseph and Rosaline Wolf Best Doctoral Dissertation Award
(Awarded by the ESE Department of the University of Pennsylvania)

• Research Fellowship from the Simons Institute at UC Berkeley 2017

(Program: "Bridging Continuous and Discrete Optimization")

PUBLICATIONS (Regularly publish in NeurIPS, ICML, COLT, AISTATS, SIAM OPT, and Math Prog.)

### Book

1. G. Braun, A. Carderera, C. W. Combettes, H. Hassani, A. Karbasi, A. Mokhtari, S. Pokutta, "Conditional Gradient Methods," 2023.

# **Conference Papers**

- 1. L. Collins\*, A. Parulekar\*, A. Mokhtari, S. Sanghavi, S. Shakkottai. "In-Context Learning with Transformers: Softmax Attention Adapts to Function Lipschitzness," *Neural Information Processing Systems (NeurIPS)*, 2024. [pdf] (Spotlight)
- 2. Q. Jin, R. Jiang, A. Mokhtari. "Non-asymptotic Global Convergence Analysis of BFGS with the Armijo-Wolfe Line Search," *Neural Information Processing Systems (NeurIPS)*, 2024. [pdf] (Spotlight)
- 3. R. Jiang, A. Kavis, Q. Jin, S. Sanghavi, A. Mokhtari. "Adaptive and Optimal Second-order Optimistic Methods for Minimax Optimization," *Neural Information Processing Systems (NeurIPS)*, 2024. [pdf]
- 4. J. Cao, R. Jiang, E. Yazdandoost Hamedani, A. Mokhtari. "An Accelerated Gradient Method for Simple Bilevel Optimization with Convex Lower-level Problem," *Neural Information Processing Systems (NeurIPS)*, 2024. [pdf]
- 5. R. Jiang, M. Derezinski, A. Mokhtari. "Stochastic Newton Proximal Extragradient Method," *Neural Information Processing Systems (NeurIPS)*, 2024. [pdf]
- 6. L. Collins, H. Hassani, M. Soltanolkotabi, A. Mokhtari, S. Shakkottai. "Provable Multi-Task Representation Learning by Two-Layer ReLU Neural Networks," *Int. Conference on Machine Learning (ICML)*, 2024. (Oral) [pdf]
- 7. R. Jiang, P. Raman, S. Sabach, A. Mokhtari, M. Hong, and V. Cevher, "Krylov Cubic Regularized Newton: A Subspace Second-Order Method with Dimension-Free Convergence Rate," *International Conference on Artificial Intelligence and Statistics (AISTATS)*, 2024. [pdf]
- 8. R. Jiang, A. Mokhtari. "Accelerated Quasi-Newton Proximal Extragradient: Faster Rate for Smooth Convex Optimization." *Neural Information Processing Systems (NeurIPS)*, 2023. (Spotlight) [pdf]
- 9. N. Rajaraman, Devvrit, A. Mokhtari, K. Ramchandran. "Greedy Pruning with Group Lasso Provably Generalizes for Matrix Sensing." *Neural Information Processing Systems (NeurIPS)*, 2023. [pdf]
- 10. J. Cao, R. Jiang, N. Abolfazli, E.Y. Hamedani, A. Mokhtari. "Projection-Free Methods for Stochastic Simple Bilevel Optimization with Convex Lower-level Problem." *Neural Information Processing Systems (NeurIPS)*, 2023. [pdf]
- 11. R. Jiang, Q. Jin, A. Mokhtari. "Online Learning Guided Curvature Approximation: A Quasi-Newton Method with Global Non-Asymptotic Superlinear Convergence." *Conference on Learning Theory (COLT)*, 2023. [pdf]
- 12. A. Parulekar, L. Collins, K. Shanmugam, A. Mokhtari, S. Shakkottai. "InfoNCE Loss Provably Learns Cluster-Preserving Representations." *Conference on Learning Theory (COLT)*, 2023. [pdf]
- 13. R. Jiang, N. Abolfazli, A. Mokhtari, E. Yazdandoost Hamedani. "A Conditional Gradient-based Method for Simple Bilevel Optimization with Convex Lower-level Problem." *Int. Conference on Artificial Intelligence and Statistics (AISTATS)*, 2023. [pdf]
- 14. P. Hedge, G. de Veciana, A. Mokhtari. "Network Adaptive Federated Learning: Congestion and Lossy Compression." *IEEE International Conference on Computer Communications (INFOCOM)*, 2023. [pdf]
- 15. L. Collins, H. Hassani, A. Mokhtari, S. Shakkottai. "FedAvg with Fine Tuning: Local Updates Lead to Representation Learning." *Neural Information Processing Systems (NeurIPS)*, 2022. [pdf]
- 16. L. Collins, A. Mokhtari, S. Oh, S. Shakkottai "MAML and ANIL Provably Learn Representations." *International Conference on Machine Learning (ICML)*, 2022. [pdf]
- 17. Q. Jin, A. Koppel, K. Rajawat, A. Mokhtari. "Sharpened Quasi-Newton Methods: Faster Superlinear Rate and Larger Local Convergence Neighborhood." *Int. Conference on Machine Learning (ICML)*, 2022. [pdf]

- 18. M. Faw\*, I. Tziotis\*, C. Caramanis, A. Mokhtari, S. Shakkottai, R. Ward. "The Power of Adaptivity in SGD: Self-Tuning Step Sizes with Unbounded Gradients and Affine Variance." *Conference on Learning Theory (COLT)*, 2022. [pdf]
- 19. A. Adibi, A. Mokhtari, and H. Hassani. "Minimax Optimization: The Case of Convex-Submodular," *Int. Conference on Artificial Intelligence and Statistics (AISTATS)*, 2022. (Oral presentation: Top 2.6% of the submitted papers) [pdf]
- 20. M. Ye, R. Jiang, H. Wang, D. Choudhary, X. Du, B. Bhushanam, A. Mokhtari, A. Kejariwal, and Q. Liu. "Future Gradient Descent for Adapting the Temporal Shifting Data Distribution in Online Recommendation System," *Conference on Uncertainty in Artificial Intelligence (UAI)*, 2022.
- 21. L. Collins, A. Mokhtari, S, Shakkottai, "How Does the Task Landscape Affect MAML Performance?," *Conference on Lifelong Learning Agents*, 2022. [pdf]
- 22. Q. Jin and A. Mokhtari. "Exploiting Local Convergence of Quasi-Newton Methods Globally: Adaptive Sample Size Approach," *Neural Information Processing Systems (NeurIPS)*, 2021. [pdf]
- 23. A. Fallah, A. Mokhtari, and A. Ozdaglar. "Generalization of Model-Agnostic Meta-Learning Algorithms: Recurring and Unseen Tasks," *Neural Information Processing Systems (NeurIPS)*, 2021. [pdf]
- 24. A. Fallah, K. Georgiev, A. Mokhtari, and A. Ozdaglar. "Provably Convergent Policy Gradient Methods for Model-Agnostic Meta-Reinforcement Learning," *Neural Information Processing Systems (NeurIPS)*, 2021. [pdf]
- 25. L. Collins, H. Hassani, A. Mokhtari, S, Shakkottai, "Exploiting Shared Representations for Personalized Federated Learning," *International Conference on Machine Learning (ICML)*, 2021. [pdf]
- 26. F. Haddadpour, M. M. Kamani, A. Mokhtari, and M. Mahdavi. "Federated Learning with Compression: Unified Analysis and Sharp Guarantees," *Int. Conf. on Artificial Intelligence and Statistics (AISTATS)*, 2021. [pdf]
- 27. L. Collins, A. Mokhtari, and S. Shakkottai. "Task-Robust Model-Agnostic Meta-Learning," *Neural Information Processing Systems (NeurIPS)*, 2020. [pdf]
- 28. I. Tziotis, C. Caramanis, and A. Mokhtari. "Second Order Optimality in Decentralized Non-Convex Optimization via Perturbed Gradient Tracking," *Neural Information Processing Systems (NeurIPS)*, 2020. [pdf]
- 29. A. Fallah, A. Mokhtari, and A. Ozdaglar. "Personalized Federated Learning with Theoretical Guarantees: A Model-Agnostic Meta-Learning Approach," *Neural Information Processing Systems (NeurIPS)*, 2020. [pdf]
- 30. A. Adibi, A. Mokhtari, and H. Hassani. "Submodular Meta-Learning," *Neural Information Processing Systems* (*NeurIPS*), 2020. [pdf]
- 31. H. Taheri, A. Mokhtari, H. Hassani, R. Pedarsani. "Quantized Decentralized Stochastic Learning over Directed Graphs," *International Conference on Machine Learning (ICML)*, 2020. [pdf]
- 32. M. Zhang, Z. Shen, A. Mokhtari, H. Hassani, and A. Karbasi. "One Sample Stochastic Frank-Wolfe," *Int. Conference on Artificial Intelligence and Statistics (AISTATS)*, 2020. [pdf]
- 33. S. Soori, K. Mischenko, A. Mokhtari, M. Dehnavi, and M. Gurbuzbalaban. "DAve-QN: A Distributed Averaged Quasi-Newton Method with Local Superlinear Convergence Rate," *Int. Conference on Artificial Intelligence and Statistics (AISTATS)*, 2020. [pdf]
- 34. M. Zhang, L. Chen, A. Mokhtari, H. Hassani, and A. Karbasi. "Quantized Frank-Wolfe: Faster Optimization, Lower Communication, and Projection Free," *Int. Conference on Artificial Intelligence and Statistics (AISTATS)*, 2020. [pdf]
- 35. A. Mokhtari, A. Ozdaglar, and S. Pattathil. "A Unified Analysis of Extra-gradient and Optimistic Gradient Methods for Saddle Point Problems: Proximal Point Approach," *Int. Conference on Artificial Intelligence and Statistics (AISTATS)*, 2020. [pdf]
- 36. A. Fallah, A. Mokhtari, and A. Ozdaglar. "On the Convergence Theory of Gradient-Based Model-Agnostic Meta-Learning Algorithms," *Int. Conference on Artificial Intelligence and Statistics (AISTATS)*, 2020. [pdf]
- 37. A. Reisizadeh, A. Mokhtari, H. Hassani, A. Jadbabaie, and R. Pedarsani, "FedPAQ: A Communication-Efficient Federated Learning Method with Periodic Averaging and Quantization," *Int. Conference on Artificial*

- Intelligence and Statistics (AISTATS), 2020. [pdf]
- 38. M. Jahani, X. He, C. Ma, A. Mokhtari, D. Mudigere, A. Ribeiro, M. Takac. "Efficient Distributed Hessian Free Algorithm for Large-scale Empirical Risk Minimization via Accumulating Sample Strategy," *Int. Conference on Artificial Intelligence and Statistics (AISTATS)*, 2020. [pdf]
- 39. H. Hassani, A. Karbasi, A. Mokhtari, Z. Shen. "Stochastic Continuous Greedy ++: When Upper and Lower Bounds Match," *Neural Information Processing Systems (NeurIPS)*, 2019. [pdf]
- 40. A. Reisizadeh, H. Taheri, A. Mokhtari, H. Hassani, and R. Pedarsani, "Robust and Communication-Efficient Collaborative Learning," *Neural Information Processing Systems (NeurIPS)*, 2019. [pdf]
- 41. A. Mokhtari, A. Ozdaglar, and A. Jadbabaie, "Efficient Nonconvex Empirical Risk Minimization via Adaptive Sample Size Methods," *Int. Conference on Artificial Intelligence and Statistics (AISTATS)*, 2019. [pdf]
- 42. J. Zhang, C. Uribe, A. Mokhtari, and A. Jadbabaie, "Achieving Acceleration in Distributed Optimization via Direct Discretization of the Heavy-Ball ODE," *American Control Conference (ACC)*, 2019. [pdf]
- 43. A. Mokhtari, A. Ozdaglar, and A. Jadbabaie, "Escaping Saddle Points in Constrained Optimization," *Neural Information Processing Systems (NeurIPS)*, pp. 3533-3643, 2018. (Spotlight: Top 4% of the submitted papers) [pdf] [Supplementary Material]
- 44. J. Zhang, A. Mokhtari, S. Sra, and A. Jadbabaie, "Direct Runge-Kutta Discretization Achieves Acceleration," *Neural Information Processing Systems (NeurIPS)*, pp. 3901-3910, 2018. (Spotlight: Top 4% of the submitted papers) [pdf] [Supplementary Material]
- 45. A. Reisizadeh, A. Mokhtari, H. Hassani, and R. Pedarsani, "Quantized Decentralized Consensus Optimization, *IEEE 57th Conference on Decision and Control (CDC)*, 2018. [pdf]
- 46. S. Paternain, A. Mokhtari, and A. Ribeiro, "A Newton Method for Faster Navigation in Cluttered Environments, *IEEE 57th Conference on Decision and Control (CDC)*, 2018. [pdf]
- 47. A. Mokhtari, H. Hassani, and A. Karbasi, "Decentralized Submodular Maximization: Bridging Discrete and Continuous Settings", *International Conference on Machine Learning (ICML)*, PMLR 80:3613-3622, 2018. [Long talk] [pdf] [Supplementary Material]
- 48. Z. Shen, A. Mokhtari, H. Qian, P. Zhao, and T. Zhou, "Towards More Efficient Stochastic Decentralized Learning: Faster Convergence and Sparse Communication", *International Conference on Machine Learning (ICML)*, PMLR 80:4631-4640, 2018. [pdf] [Supplementary Material]
- 49. A. Mokhtari, H. Hassani, and A. Karbasi, "Conditional Gradient Method for Stochastic Submodular Maximization: Closing the Gap", *International Conference on Artificial Intelligence and Statistics (AISTATS)*, PMLR 84:1886-1895, 2018.[pdf] [Supplementary Material]
- 50. M. Eisen, A. Mokhtari, and A. Ribeiro, "Large Scale Empirical Risk Minimization via Truncated Adaptive Newton Method", *International Conference on Artificial Intelligence and Statistics (AISTATS)*, PMLR 84:1447-1455, 2018. [pdf] [Supplementary Material]
- 51. A. Koppel, A. Mokhtari, and A. Ribeiro, "Parallel Stochastic Successive Convex Approximation Method for Large-Scale Dictionary Learning," *Int. Conf. Acoustics Speech Signal Process. (ICASSP)*, Calgary, Alberta, Canada, 2018. [pdf]
- 52. A. Mokhtari and A. Ribeiro, "First-Order Adaptive Sample Size Methods to Reduce Complexity of Empirical Risk Minimization", *Neural Information Processing Systems (NeurIPS)* 2017, pp. 2057-2065, Long Beach, CA, December 4-9, 2017. [pdf] [Supplementary Material]
- 53. M. Eisen, A. Mokhtari, and A. Ribeiro, "A Primal-Dual Quasi-Newton Method for Consensus Optimization", in 51th Asilomar Conference on Signals, Systems and Computers, 2017, pp. 298-302. [pdf]
- 54. A. Mokhtari, M. Eisen, and A. Ribeiro, "An Incremental Quasi-Newton Method with a Local Superlinear Convergence Rate," *Int. Conf. Acoustics Speech Signal Process. (ICASSP)*, New Orleans, LA, 2017, pp. 4039-4043. [pdf]
- 55. A. Mokhtari, M. Gürbüzbalaban, and A. Ribeiro, "A Double Incremental Aggregated Gradient Method with Linear Convergence Rate for Large-Scale Optimization," *Int. Conf. Acoustics Speech Signal Process. (ICASSP)*,

- New Orleans, LA, 2017, pp. 4696-4700. [pdf]
- 56. A. Mokhtari, A. Koppel, G. Scutari, and A. Ribeiro, "Large-Scale NonConvex Stochastic Optimization by Doubly Stochastic Successive Convex Approximation," *Int. Conf. Acoustics Speech Signal Process. (ICASSP)*, New Orleans, LA, 2017, pp. 4701-4705.[pdf]
- 57. A. Mokhtari, and A. Ingber, "A Diagonal-Augmented Quasi-Newton Method with Application to Factorization Machines," *Int. Conf. Acoustics Speech Signal Process.(ICASSP)*, New Orleans, LA, 2017, pp. 2671-2675. [pdf]
- 58. A. Mokhtari, H. Daneshmand, A. Lucchi, T. Hofmann, and A. Ribeiro, "Adaptive Newton Method for Empirical Risk Minimization to Statistical Accuracy", *Neural Information Processing Systems (NeurIPS) 2016*, pp. 4062-4070, Barcelona, Spain, Dec. 5-10, 2016. [pdf] [Supplementary Material]
- 59. T. Chen, A. Mokhtari, X. Wang, A. Ribeiro, and G. B. Giannakis, "A Data-driven Approach to Stochastic Network Optimization", 2016 IEEE Global Conference on Signal and Information Processing (GlobalSIP), Washington DC, DC, USA, 2016, pp. 510-514. [pdf]
- 60. H. Zhang, W. Shi, A. Mokhtari, A. Ribeiro, and Q. Ling, "Decentralized Constrained Consensus Optimization with Primal-Dual Splitting Projection", 2016 IEEE Global Conf. on Signal and Inform. Process. (GlobalSIP), Washington DC, DC, USA, 2016, pp. 565-569. [pdf]
- 61. M. Eisen, A. Mokhtari, and A. Ribeiro, "An Asynchronous Quasi-Newton Method for Consensus Optimization", 2016 IEEE Global Conference on Signal and Information Processing (GlobalSIP), Washington DC, DC, USA, 2016, pp. 570-574. [pdf]
- 62. A. Mokhtari, W. Shi, and Qing Ling, "ESOM: Exact Second-Order Method for Consensus Optimization," 50th Asilomar Conf. on Signals, Systems and Computers, Pacific Grove, CA, 2016, pp. 783-787. [pdf]
- 63. A. Koppel, A. Mokhtari, and A. Ribeiro, "Doubly Stochastic Algorithms for Large-Scale Optimization," 50th Asilomar Conf. on Signals, Systems and Computers, Pacific Grove, CA, 2016, pp. 1705-1709. [pdf]
- 64. A. Mokhtari, S. Shahrampour, A. Jadbabaie, and A. Ribeiro, "Online Optimization in Dynamic Environments: Improved Regret Rates for Strongly Convex Problems", *IEEE 55th Conf. on Decision and Control (CDC)*, pp. 7195-7201, Las Vegas, NV, 2016. [pdf]
- 65. A. Mokhtari, W. Shi, Q. Ling, and A. Ribeiro, "A Decentralized Second-Order Method for Dynamic Optimization", *IEEE 55th Conf. on Decision and Control (CDC)*, pp. 6036-6043, Las Vegas, NV, 2016. [pdf]
- 66. M. Eisen, A. Mokhtari, and A. Ribeiro, "A Decentralized Quasi-Newton Method for Dual Formulations of Consensus Optimization," *IEEE 55th Conf. on Decision and Control (CDC)*, pp. 1951-1958, Las Vegas, NV, 2016. [pdf]
- 67. A. Simonetto, A. Koppel, A. Mokhtari, G. Leus, and A. Ribeiro, "A Quasi-Newton Prediction-Correction Method for Decentralized Dynamic Convex Optimization," *European Control Conference (ECC)*, pp. 1934-1939, Aalborg, Denmark, 2016. [pdf]
- 68. A. Mokhtari, A. Koppel, and A. Ribeiro, "Doubly Random Parallel Stochastic Methods for Large Scale Learning," *American Control Conference (ACC)*, pp. 4847-4852, 2016. [pdf]
- 69. A. Simonetto, A. Mokhtari, A. Koppel, G. Leus, and A. Ribeiro, "A Decentralized Prediction-Correction Method for Networked Time-Varying Convex Optimization," *IEEE 6th International Workshop on Computational Advances in Multi-Sensor Adaptive Processing (CAMSAP)*, pp. 509-512, 2015. [pdf]
- 70. A. Mokhtari, W. Shi, Q. Ling, and A. Ribeiro, "Decentralized Quadratically Approximated Alternating Direction Method of Multipliers", *IEEE Global Conf. on Signal and Inform. Process.*, pp. 795-799, Orlando, FL, Dec. 14-16, 2015. [pdf]
- 71. A. Koppel, A. Simonetto, A. Mokhtari, G. Leus, and A. Ribeiro, "Target Tracking with Dynamic Convex Optimization," *IEEE Global Conf. on Signal and Inform. Process.*, pp. 1210-1214, Orlando, FL, Dec. 14-16, 2015. [pdf]
- 72. A. Mokhtari and A. Ribeiro, "Decentralized Double Stochastic Averaging Gradient," *Asilomar Conference on signals, systems, and computers*, pp. 406-410, 2015. [pdf]
- 73. A. Simonetto, A. Koppel, A. Mokhtari, G. Leus, and A. Ribeiro, "Prediction-Correction Methods for Time-

- Varying Convex Optimization," *Asilomar Conference on signals, systems, and computers*, pp. 666-670, Pacific Grove, CA, Nov. 8-11, 2015. [pdf]
- 74. A. Mokhtari, Q. Ling, and A. Ribeiro, "An Approximate Newton Method for Distributed Optimization," *Proc. Int. Conf. Acoustics Speech Signal Process.*, pp. 2959-2963, 2015. [pdf]
- 75. A. Mokhtari, Q. Ling, and A. Ribeiro, "Network Newton," *Asilomar Conf. on signals, systems, and computers*, pp. 1621-1625, Pacific Grove, CA, Nov. 2-5, 2014. [pdf]
- 76. A. Mokhtari and A. Ribeiro, "A Quasi-Newton Method for Large Scale Support Vector Machines," *Int. Conf. Acoustics Speech Signal Process.*, pp. 8302-8306, 2014. [pdf]
- 77. A. Mokhtari and A. Ribeiro, "Regularized Stochastic BFGS algorithm," *IEEE Global Conf. on Signal and Inform. Process.*, pp.1109-1112, Austin, TX, Dec, 2013. [pdf]
- 78. A. Mokhtari and A. Ribeiro, "A Dual Stochastic DFP Algorithm for Optimal Resource Allocation in Wireless Systems," *IEEE Workshop on Signal Process. Advances in Wireless Commun. (SPAWC)*, pp. 21-25, Darmstadt, Germany, June 16-19, 2013. [pdf]

### Journal Papers

- 1. Q. Jin, T. Ren, N. Ho, A. Mokhtari, "Statistical and Computational Complexities of BFGS Quasi-Newton Method for Generalized Linear Models," *Transactions on Machine Learning Research (TMLR)*, 2024. [pdf]
- 2. I. Tziotis, Z. Shen, R. Pedarsani, H. Hassani, A. Mokhtari. "Straggler-Resilient Personalized Federated Learning," *Transactions on Machine Learning Research (TMLR)*, 2023. [pdf]
- 3. M. Fereydounian, A. Mokhtari, R. Pedarsani, H. Hassani. "Provably Private Distributed Averaging Consensus: An Information-Theoretic Approach," *IEEE Transactions on Information Theory*, 2023. [pdf]
- 4. Q. Jin, A. Mokhtari. "Non-asymptotic Superlinear Convergence of Standard Quasi-Newton Methods," *Mathematical Programming (MAPR)*, 2022. [pdf]
- 5. A. Reisizadeh, I. Tziotis, H. Hassani, A. Mokhtari, R. Pedarsani, "Straggler-Resilient Federated Learning: Leveraging the Interplay Between Statistical Accuracy and System Heterogeneity," *IEEE Journal on Selected Areas in Information Theory (JSAIT)*, 2022. [pdf]
- 6. A. Mokhtari and A. Ribeiro. "Stochastic Quasi-Newton Methods," *Proceedings of the IEEE*, vol. 108, no. 11, pp. 1906–1922, 2020. [pdf] [survey paper]
- 7. A. Mokhtari, A. Ozdaglar, and S. Pattathil. "Convergence Rate of O(1/k) for Optimistic Gradient and Extragradient Methods in Smooth Convex-Concave Saddle Point Problems," SIAM Journal on Optimization, vol. 30, no. 4, pp. 3230-3251, 2020. [pdf]
- 8. H. Hassani, A. Karbasi, A. Mokhtari, and Z. Shen. "Stochastic Conditional Gradient++: (Non-)Convex Minimization and Continuous Submodular Maximization," *SIAM Journal on Optimization*, vol. 30, no. 4, pp. 3315-3344, 2020. [pdf]
- 9. A. Mokhtari, H. Hassani, and A. Karbasi, "Stochastic Conditional Gradient Methods: From Convex Minimization to Submodular Maximization," *Journal of Machine Learning Research (JMLR)*, vol. 21, no. 105, pp. 1-49, 2020. [pdf]
- 10. A. Mokhtari, A. Koppel, M. Takac, and A. Ribeiro, "A Class of Parallel Doubly Stochastic Algorithms for Large-Scale Learning," *Journal of Machine Learning Research (JMLR)*, vol. 21, no.120, pp.1-51, 2020. [pdf]
- 11. S. Paternain, A. Mokhtari, and A. Ribeiro, "A Newton-based Method for Nonconvex Optimization with Fast Evasion of Saddle Points," *SIAM Journal on Optimization*, vol. 29, no. 1, pp. 343-368, 2019. [pdf]
- 12. A. Reisizadeh, A. Mokhtari, H. Hassani, and R. Pedarsani, "An Exact Quantized Decentralized Gradient Descent Algorithm," *IEEE Transactions on Signal Processing*, vol. 67, no. 19, pp. 4934-4947, 2019. [pdf]
- 13. M. Eisen, A. Mokhtari, and A. Ribeiro. "A Primal-Dual Quasi-Newton Method for Exact Consensus Optimization," *IEEE Transactions on Signal Processing*, vol. 67, no. 23, pp. 5983-5997., 2019. [pdf]
- 14. A. Mokhtari, M. Eisen, and A. Ribeiro, "IQN: An Incremental Quasi-Newton Method with Local Superlinear

- Convergence Rate," SIAM Journal on Optimization, vol. 28, no. 2, pp. 1670–1698, 2018. [pdf]
- 15. A. Mokhtari, M. Gürbüzbalaban, and A. Ribeiro, "Surpassing Gradient Descent Provably: A Cyclic Incremental Method with Linear Convergence Rate," *SIAM Journal on Optimization*, vol. 28, no. 2, pp. 1420–1447, 2018. [pdf]
- 16. A. Simonetto, A. Koppel, A. Mokhtari, G. Leus, and A. Ribeiro, "Decentralized Prediction-Correction Methods for Networked Time-Varying Convex Optimization," *IEEE Transactions on Automatic Control*, vol. 62, no. 11, pp. 5724-5738, Nov. 2017. [pdf]
- 17. T. Chen, A. Mokhtari, X. Wang, A. Ribeiro, and G. B. Giannakis, "Stochastic Averaging for Constrained Optimization with Application to Online Resource Allocation," *IEEE Transactions on Signal Processing*, vol. 65, no. 12, pp. 3078-3098, June 15, 15 2017. [pdf]
- 18. M. Eisen, A. Mokhtari, and A. Ribeiro, "Decentralized Quasi-Newton Methods," *IEEE Transactions on Signal Processing*, vol. 65, no. 10, pp. 2613-2628, May15, 15 2017. [pdf]
- 19. A. Mokhtari, Q. Ling, and A. Ribeiro, "Network Newton Distributed Optimization Methods," *IEEE Transactions on Signal Processing*, vol. 65, no. 1, pp. 146-161, Jan.1, 1 2017. [pdf]
- 20. A. Mokhtari and A. Ribeiro, "DSA: Decentralized Double Stochastic Averaging Gradient Algorithm," *Journal of Machine Learning Research*, 17(61):1-35, 2016. [pdf]
- 21. A. Mokhtari, W. Shi, Q. Ling, and A. Ribeiro, "A Decentralized Second-Order Method with Exact Linear Convergence Rate for Consensus Optimization," *IEEE Transactions on Signal and Information Processing over Networks*, vol. 2, no. 4, pp. 507-522, Dec. 2016. [pdf]
- 22. A. Mokhtari, W. Shi, Q. Ling, and A. Ribeiro, "DQM: Decentralized Quadratically Approximated Alternating Direction Method of Multipliers," *IEEE Transactions on Signal Processing*, vol. 64, no. 19, pp. 5158-5173, Oct. 1, 2016. [pdf]
- 23. A. Simonetto, A. Mokhtari, A. Koppel, G. Leus, and A. Ribeiro, "A Class of Prediction-Correction Methods for Time-Varying Convex Optimization," in *IEEE Transactions on Signal Processing*, vol. 64, no. 17, pp. 4576-4591, Sept.1, 1 2016. [pdf]
- 24. A. Mokhtari and A. Ribeiro, "Global Convergence of Online Limited Memory BFGS," *Journal of Machine Learning Research*, vol. 16, pp. 3151-3181, 2015. [pdf]
- 25. A. Mokhtari and A. Ribeiro, "RES: Regularized Stochastic BFGS Algorithm," *IEEE Trans. Signal Process.*, vol. 62, no. 23, pp. 6089 6104, December 2014. [pdf]

#### Thesis

1. A. Mokhtari, "Efficient Methods for Large-Scale Empirical Risk Minimization," Ph.D. Dissertation, University of Pennsylvania, 2017. (Joseph and Rosaline Wolf Best Doctoral Dissertation Award). [pdf]

### **Preprints**

- 1. R. Jiang, D. Maladkar, A. Mokhtari, "Convergence Analysis of Adaptive Gradient Methods under Refined Smoothness and Noise Assumptions," under review. [pdf]
- 2. B. Li, L. Zhang, A. Mokhtari, N. He, "On the Crucial Role of Initialization for Matrix Factorization," under review. [pdf]
- 3. J. Block, S. Srinivasan, L. Collins, A. Mokhtari, S. Shakkottai, "Meta-Learning Adaptable Foundation Models," under review. [pdf]
- 4. R. Jiang, A. Mokhtari, "Online Learning Guided Quasi-Newton Methods with Global Non-Asymptotic Convergence," *Mathematical Programming*, under review. [pdf]
- 5. Q. Jin, R. Jiang, A. Mokhtari, "Non-asymptotic Global Convergence Rates of BFGS with Exact Line Search," *Mathematical Programming*, under review. [pdf]
- 6. R. Jiang, A. Mokhtari, "Generalized Optimistic Methods for Convex-Concave Saddle Point Problems," *SIAM Journal on Optimization*, under review. [pdf]

#### MAJOR INVITED TALKS

• "Online Learning Guided Quasi-Newton Methods: Improved Global Non-asymptotic Guarantees" UPenn Optimization Seminar, Wharton, University of Pennsylvania, November 2024 Machine Learning Seminar, The University of Washington, Seattle, October 2024 Algorithms and Randomness Center (ARC) Seminar Series, Georgia Tech, September 2024 Foundations of Data Science (FDS) Seminar Series, ETH Zurich, May 2024 ECE Seminar Series, EPFL, May 2024.
Learning and Optimization in Luminy (LOL 24) Conference, Luminy, Marseille, France, June 2024 Learning Theory Seminar Series, Google Research, NY, April 2024.
Operations Research Center (ORC) Seminar Series, MIT, March 2024.
Systems, Information, Learning, Optimization (SILO) Seminar Series, UW-Madison, March 2024.
IFML Workshop on Generative AI, UT Austin, December 2023.

- "In-Context Learning with Transformers: Softmax Attention Adapts to Function Lipschitzness" **ITA Workshop**, February 2024.
- "Representation Learning via MAML and its applications in Networking"
   NSF AI-EDGE Institute Annual Meeting at Northeastern University, July 2023.

ORIE Department Colloquium, Cornell University, October 2023.

- "A Conditional Gradient Method for Simple Bilevel Optimization with Convex Lower-level Problem" **SIAM Conference on Optimization**, June 2023.
- "FedAvg with Fine Tuning: Local Updates Lead to Representation Learning"
   2023 ITA Wokshop, February 2023.
   2022 Google Workshop on Federated Learning and Analytics, Google, November 2022.
   NSF AI-EDGE Institute Annual Meeting at The Ohio State University, September 2022.
- "The Power of Adaptivity in Representation Learning: From Meta-Learning to Federated Learning"
   IFML Seminar Series, UT Austin, November 2022.
   TILOS and OPTML++ Seminar Series, MIT, October 2022.
   Machine Learning Seminar Series, College of Science and Engineering, U. of Minnesota, October 2022.
- "Representation Learning with Model-Agnostic Meta-Learning (MAML)"
   Data Science Institute for Applied Math and CS, Texas A&M University, October 2022.
   NSF AI-EDGE Institute Annual Meeting at The Ohio State University, September 2022.
   Information Theory and Applications (ITA) Workshop, May 2022.
   6G@UT Kick-off Event, May 2022.
- "Optimistic High-order Methods for Saddle Point Problems" INFORMS Annual Meeting, October 2021.
   SIAM Conference on Optimization (OP21), July 2021.
- "Towards Personalized Federated Learning via Representation Learning and Meta-Learning"
   Amazon Research. July 2021
   NSF-TRIPODS Workshop on Communication Efficient Distributed Optimization. April 2021.
- "Exploiting Fast Local Convergence of Second-Order Methods Globally: Adaptive Sample Size Methods" **SIAM Conference on Computational Science and Engineering (CSE21)**, March 2021.
- "Gradient-Based Model-Agnostic Meta-Learning Algorithms" **Informs Annual Meeting**, October 2020.
- "Communication-Efficient Federated Learning with Periodic Averaging and Quantization" **Information Theory and Applications (ITA) Workshop**, February 2020.
- "Understanding the Role of Optimism in Minimax Optimization"

  Bridging Game Theory and Deep Learning Workshop at NeurIPS, December 2019.
- "Large-scale Optimization for Machine Learning and Data Science"
   University of Illinois at Urbana-Champaign, Computer Science Dept., March 2019.
   Johns Hopkins University, Mathematical Institute for Data Science (MINDS), March 2019.
   Georgia Tech, Schools of Electrical and Computer Eng. & Industrial and Systems Eng., March 2019.
   University of Washington, Industrial and Systems Eng. Dept., Feb 2019.
   Rutgers Business School, Management Science & Information Systems Dept., Feb 2019.
   University of Texas at Austin, Electrical & Computer Engineering Dept., Feb 2019.
   Rensselaer Polytechnic Inst. (RPI), Electrical, Computer, and Systems Engineering Dept., Jan 2019.
   Purdue University, Schools of Electrical and Computer Eng. & Industrial Eng., Jan 2019.

#### **RESEARCH GRANTS**

- Google Research Scholar Award
  - Title: "Representation Learning and Fine-tuning of Large Models in Federated Environments"
  - Role: PI
  - Duration: 4/1/2024-no end date
  - Total funding: \$60,000.00
- NSF: Division of Computing and Communication Foundations (CCF)
  - Title: "CAREER: Structured Minimax Optimization: Theory, Algorithms, and Applications in Robust Learning"
  - Role: PI
  - Duration: 08/01/2024-07/30/2029
  - Total funding: \$659,959.00
- Qualcomm
  - Title: "Representation Learning and Meta-Learning for Multi-Task Learning"
  - Role: Co-PI
  - Duration: 02/01/2022-02/01/2024
  - Total funding: \$100,000 out of \$200,000
- NSF National Artificial Intelligence (AI) Research Institute
  - Title: "AI Institute: Symbiotic Foundations for AI and Network Research"
  - Role: Co-PI
  - Duration: 10/01/2021-09/30/2026
  - Total funding: \$600,000 out of \$1,800,000 [Institute budget:  $\approx \$20,000,000$ ]
- $\bullet\,$  NSF, Division of Electrical, Communication and Cyber Systems (ECCS)
  - Title: "Collaborative Research: Computationally Efficient Algorithms for Large-scale Bilevel Optimization Problems"
  - Role: PI
  - Duration: 09/15/2021-08/31/2024
  - Total funding: \$224,375 out of \$448,750
- ARO Early Career Program (ECP) Award
  - Title: "Accelerating Adaptivity under Limited Data and Computation: A Meta-Learning Approach"
  - Role: sole-PI
  - Duration: 05/17/2021-11/16/2025
  - Total funding: \$360,000
- Machine Learning Laboratory at UT Austin
  - Title: "Learning to Learn Fast: Theory and Algorithm"
  - Role: sole-PI
  - Duration: 06/01/2021-05/30/2022
  - Total funding: \$50,000
- NSF, Division of Computing and Communication Foundations (CCF)
  - Title: "CIF Small: Computationally Efficient Second-Order Optimization Algorithms for Large-Scale Learning"
  - Role: sole-PI
  - Duration: 07/01/2020-06/30/2025
  - Total funding: \$500,000

### **CURRENT POSTDOCS**

• Ali Kavis (Nov. 2023 – present) [co-advised with Sujay Sanghavi]

#### **CURRENT PH.D. STUDENTS**

- Ruichen Jiang (Sep. 2020 present)
- Jincheng Cao (Sep. 2022 present)
- Jacob Block (Sep. 2023 present) [co-advised with Sanjay Shakkottai]
- Sundararajan Srinivasan (Sep. 2023 present) [co-advised with Sanjay Shakkottai]
- Quentin Fruytier (Sep. 2023 present) [co-advised with Sujay Sanghavi]
- Francisco Patitucci Perez (Sep. 2023 present)

### PAST GRADUATE STUDENTS

- Qiujiang Jin (Jan. 2020 May 2024)
  - Degree: Ph.D.
  - Next position: Goldman Sachs
- Devyani Maladkar (Jan. 2023 May 2024)
  - Degree: M.Sc.
  - Next position: Goldman Sachs
- Liam Collins (Sep. 2019 Aug. 2024) [co-advised with Sanjay Shakkottai]
  - Degree: Ph.D.
  - Next position: Snap Research
- Isidoros Tziotis (Jan. 2020 Aug. 2024)
  - Degree: Ph.D.
  - Next position: Meta

### PROFESSIONAL SERVICE

- Senior Area Chair for:
  - Neural Information Processing Systems Conference (NeurIPS 2024)
  - International Conference on Machine Learning (ICML 2025)
- Area Chair for:
  - Neural Information Processing Systems Conference (NeurIPS 2021, 2022, 2023)
  - International Conference on Machine Learning (ICML 2021, 2022, 2023, 2024)
  - International Conference on Artificial Intelligence and Statistics (AISTATS 2021,2024)
  - Conference on Learning Theory (COLT 2023, 2024)
- Journal refereeing:
  - SIAM Journal on Optimization (SIOPT)
  - Mathematical Programming Journal
  - Journal of Machine Learning Research (JMLR)
  - Journal of Optimization Theory and Applications
  - IEEE Transactions on Information Theory
  - IEEE Transactions on Signal Processing (TSP)

- IEEE Transactions on Automatic Control (TAC)
- IEEE Transactions on Control of Network Systems (TCNS)
- IEEE Transactions on Signal and Information Processing over Networks (TSIPN)
- Journal of Selected Topics in Signal Processing (JSTSP)
- IEEE Transactions on Network Science and Engineering (TNSE)
- IEEE Signal Processing Letters (SPL)

# • Conference refereeing:

- Neural Information Processing Systems Conference (NeurIPS 2018, 2019, 2020)
- International Conference on Machine Learning (ICML 2019, 2020)
- International Conference on Artificial Intelligence and Statistics (AISTATS 2019, 2020, 2024)
- Annual Symposium on Foundations of Computer Science (FOCS 2022)
- Conference on Learning Theory (COLT 2020, 2022, 2023)
- International Conference on Learning Representations (ICLR 2021, 2022, 2025)
- Innovations in Theoretical Computer Science Conference (ITCS 2024)
- IEEE Int. Conference on Acoustics, Speech, and Signal Processing (ICASSP 2021, 2022, 2023, 2024)
- IEEE Int. Symposium on Information Theory (ISIT 2020, 2021, 2022)
- IEEE Int. Workshop on Signal Processing Advances in Wireless Communications (SPAWC 2021)
- IEEE Int. Workshop on Machine Learning for Signal Processing (MLSP 2021)
- IEEE American Control Conference (ACC)
- IEEE Conference on Decision and Control (CDC)
- IEEE Conference on Control Technology and Applications (CCTA)
- IEEE Global Conference on Signal and Information Processing (GlobalSIP)