

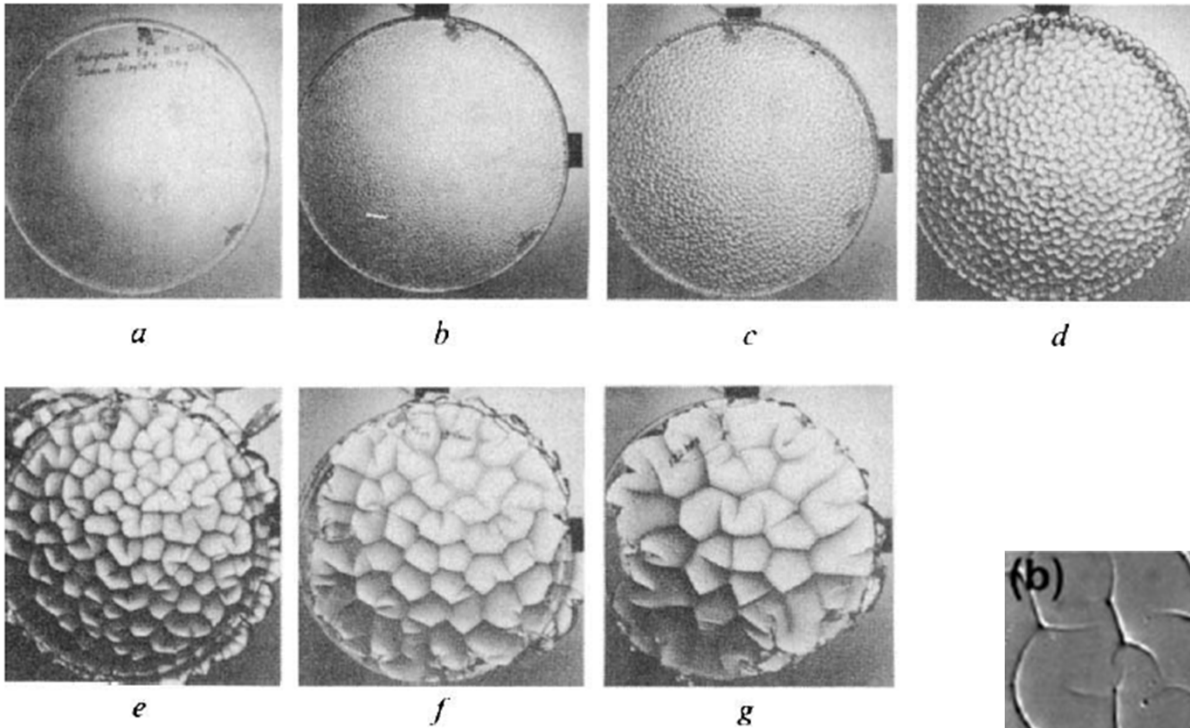


Swell-Induced Surface Instability in Substrate-Confined Hydrogel Layer

Rui Huang and Min K. Kang

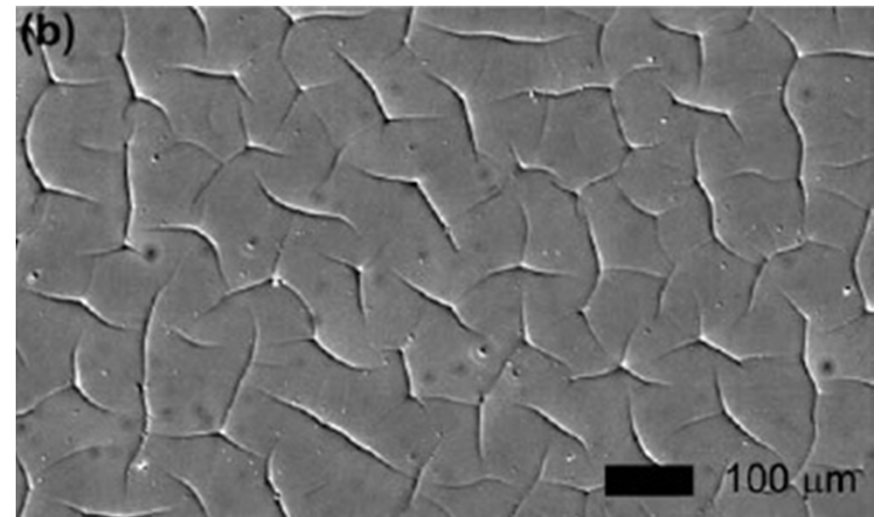
Center for Mechanics of Solids, Structures and Materials
Department of Aerospace Engineering and Engineering Mechanics
The University of Texas at Austin

Swelling of rubber and gels



- Southern and Thomas, 1965
- Tanaka et al, 1987
- Trujillo et al., 2008

- Critical condition for the onset of surface instability?
- Any characteristic size?
- Effect of kinetics?



A theoretical framework for gels

Free energy density function

$$U(\mathbf{F}, C)$$

Nominal stress

$$s_{iK} = \frac{\partial U}{\partial F_{iK}}$$

Volume change

$$\det(\mathbf{F}) = 1 + \nu C$$

Chemical potential

$$\mu = \frac{\partial U}{\partial C}$$

Equilibrium equations

$$\frac{\partial s_{iK}}{\partial X_K} + B_i = 0$$

$$\frac{\partial \mu}{\partial X_K} = 0$$

Boundary conditions

$$T_i = s_{iK} N_K \quad \text{or} \quad \delta x_i = 0 \quad \mu = \mu_{ext}$$

A specific material model

Free energy density function

$$U(\mathbf{F}, C) = U_e(\mathbf{F}) + U_m(C)$$

Neo-Hookean rubber elasticity:

$$U_e(\mathbf{F}) = \frac{1}{2} N k_B T [F_{iK} F_{iK} - 3 - 2 \ln(\det(\mathbf{F}))]$$

Flory-Huggins polymer solution theory:

$$U_m(C) = \frac{k_B T}{\nu} \left[\nu C \ln \left(\frac{\nu C}{1 + \nu C} \right) + \frac{\chi \nu C}{1 + \nu C} \right]$$

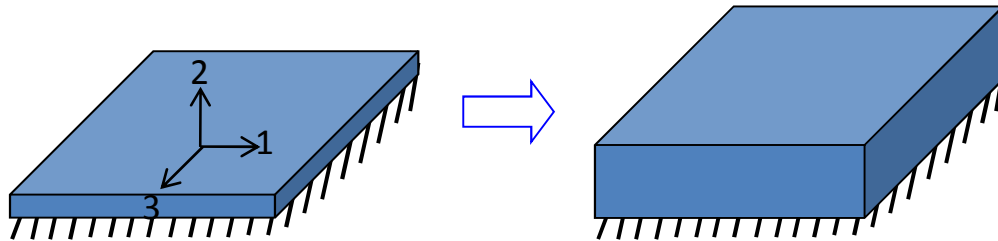
$N k_B T$: initial shear modulus of the polymer network

N : No. of polymer chains per unit volume

ν : Volume of a solvent molecule

χ : Enthalpy of mixing parameter

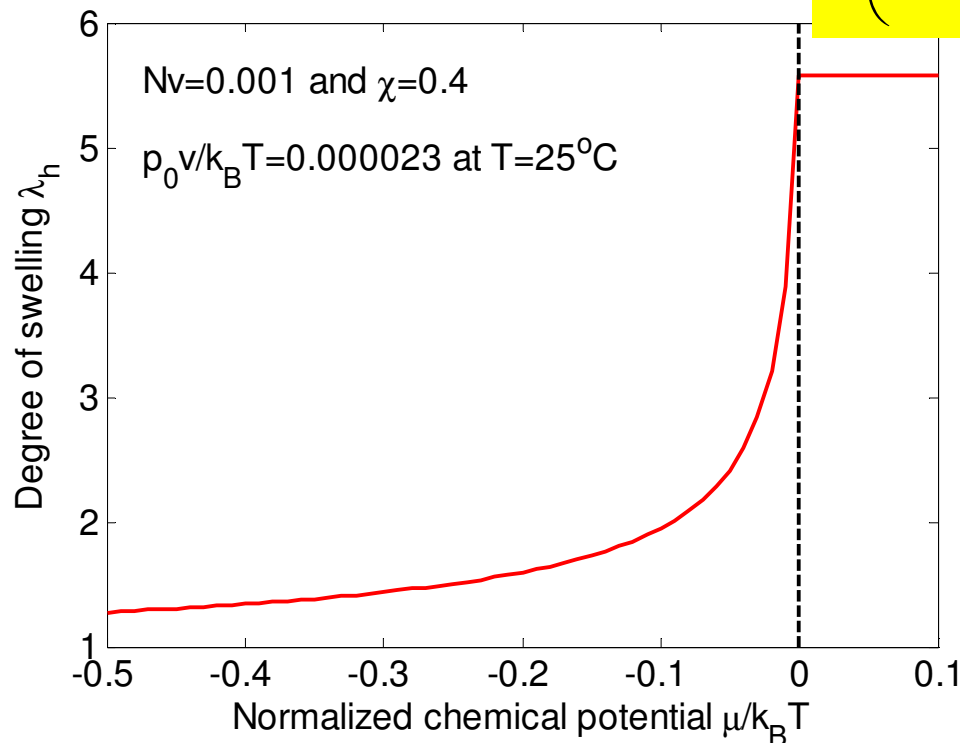
Homogeneous swelling of a hydrogel layer



$$\lambda_1 = \lambda_3 = 1$$

$$\lambda_2 = \lambda_h > 1$$

$$\ln\left(1 - \frac{1}{\lambda_h}\right) - \frac{1}{\lambda_h} + \frac{\chi}{\lambda_h^2} + Nv\left(\lambda_h - \frac{1}{\lambda_h}\right) = \frac{\mu_{ext} - pv}{k_B T}$$

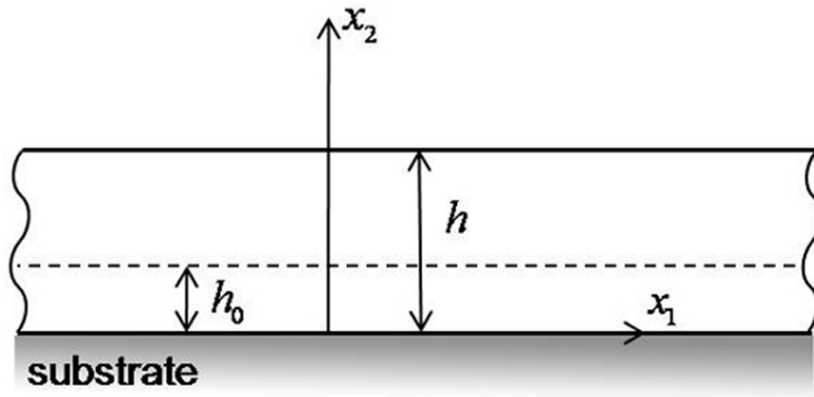


External chemical potential:

$$\mu_{ext} = k_B T \ln(p / p_0) \quad p \leq p_0$$

$$\mu_{ext} = (p - p_0)v \quad p \geq p_0$$

A linear perturbation analysis



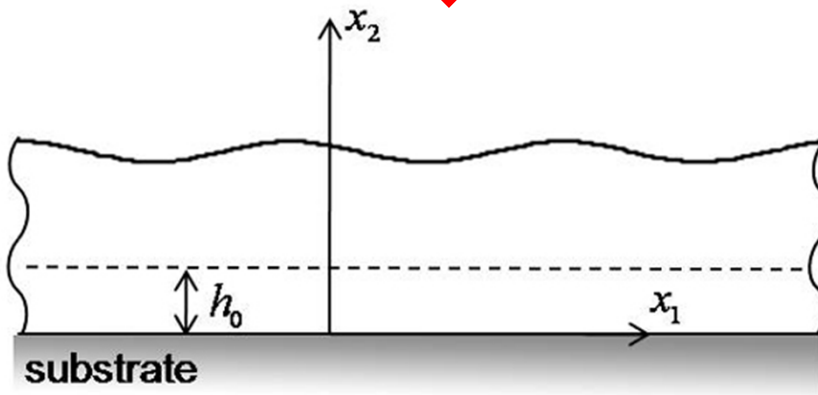
Homogeneous swelling

$$\mathbf{F} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \lambda_h & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



Linear perturbation:

$$u_1 = u_1(x_1, x_2), u_2 = u_2(x_1, x_2)$$



$$\tilde{\mathbf{F}} = \begin{bmatrix} 1 + \frac{\partial u_1}{\partial x_1} & \lambda_h \frac{\partial u_1}{\partial x_2} & 0 \\ \frac{\partial u_2}{\partial x_1} & \lambda_h \left(1 + \frac{\partial u_2}{\partial x_2} \right) & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Linearized equilibrium equations

$$\begin{aligned} (1 + \lambda_h \xi_h) \frac{\partial^2 u_1}{\partial x_1^2} + \lambda_h^2 \frac{\partial^2 u_1}{\partial x_2^2} + \lambda_h \xi_h \frac{\partial^2 u_2}{\partial x_1 \partial x_2} &= 0 \\ \frac{\partial^2 u_2}{\partial x_1^2} + \lambda_h (\xi_h + \lambda_h) \frac{\partial^2 u_2}{\partial x_2^2} + \lambda_h \xi_h \frac{\partial^2 u_1}{\partial x_1 \partial x_2} &= 0 \end{aligned}$$



Solution by the method
of Fourier transform

$$\begin{cases} \hat{u}_1(x_2; k) = \sum_{n=1}^4 A_n \bar{u}_1^{(n)} \exp(q_n x_2) \\ \hat{u}_2(x_2; k) = \sum_{n=1}^4 A_n \bar{u}_2^{(n)} \exp(q_n x_2) \end{cases}$$

Critical Conditions for Surface Instability

Boundary conditions

$$\begin{cases} s_{22} = -p \left(1 + \frac{\partial u_1}{\partial x_1} \right) & \text{at } x_2 = h \\ s_{12} = p \frac{\partial u_2}{\partial x_1} & \text{at } x_2 = h \\ u_1 = u_2 = 0 & \text{at } x_2 = 0 \end{cases}$$



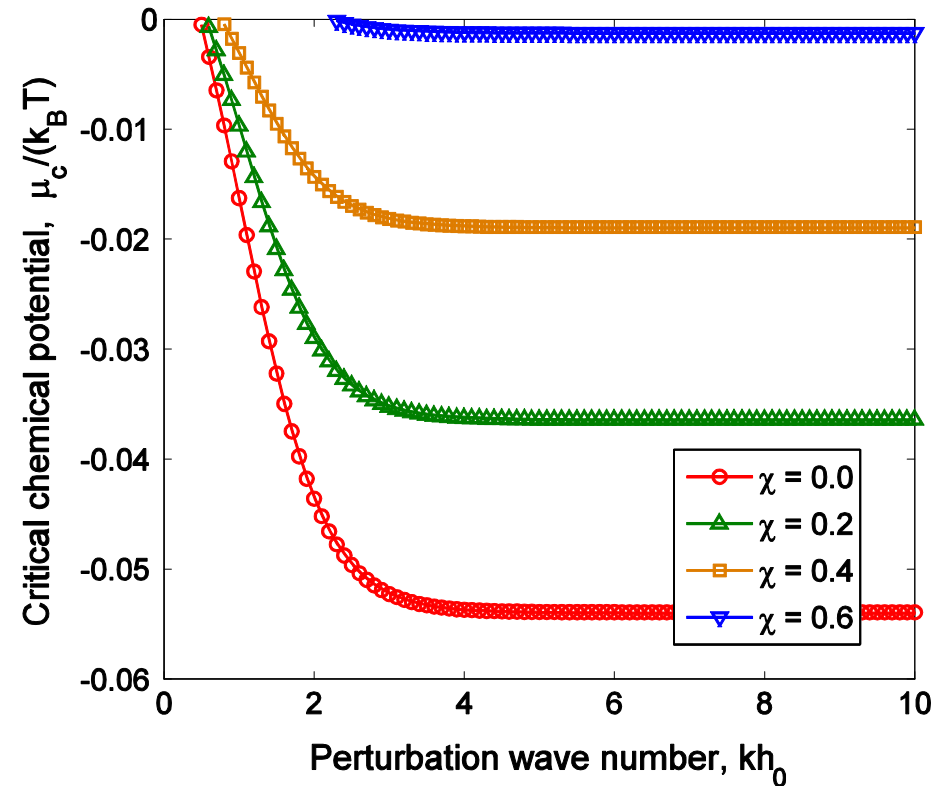
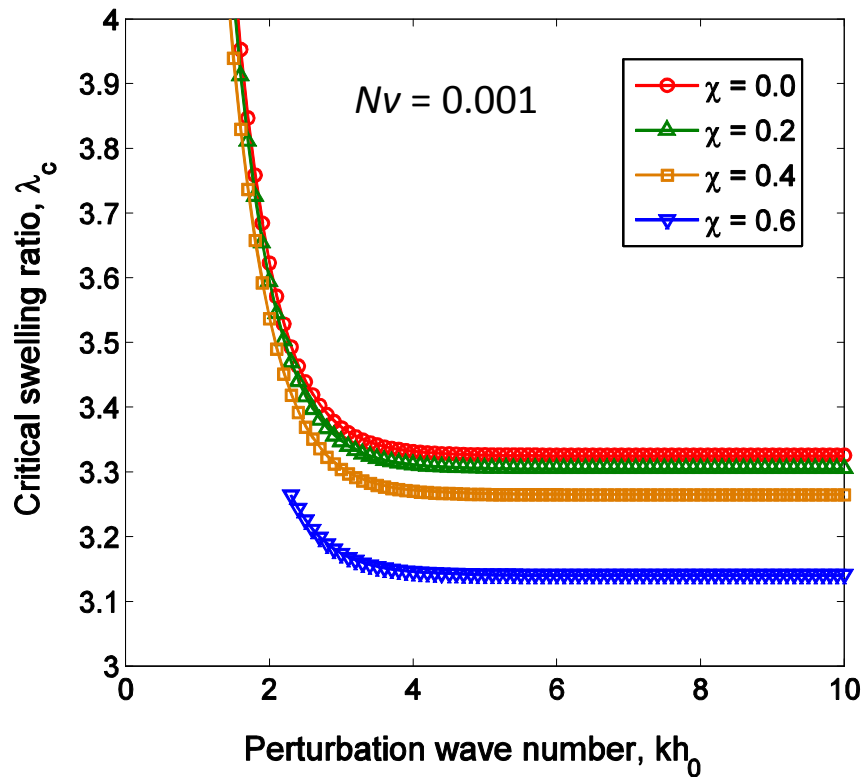
$$\sum_{n=1}^4 D_{mn} A_n = 0$$

$$[D_{mn}] = \begin{bmatrix} 1 & 1 & 1 & 1 \\ -\lambda_h & \lambda_h & -\beta & \beta \\ 2\lambda_h e^{kh_0} & 2\lambda_h e^{-kh_0} & \left(\lambda_h + \frac{1}{\lambda_h} \right) e^{\beta kh} & \left(\lambda_h + \frac{1}{\lambda_h} \right) e^{-\beta kh} \\ \left(\lambda_h + \frac{1}{\lambda_h} \right) e^{kh_0} & -\left(\lambda_h + \frac{1}{\lambda_h} \right) e^{-kh_0} & 2\beta e^{\beta kh} & -2\beta e^{-\beta kh} \end{bmatrix}$$

Critical condition:

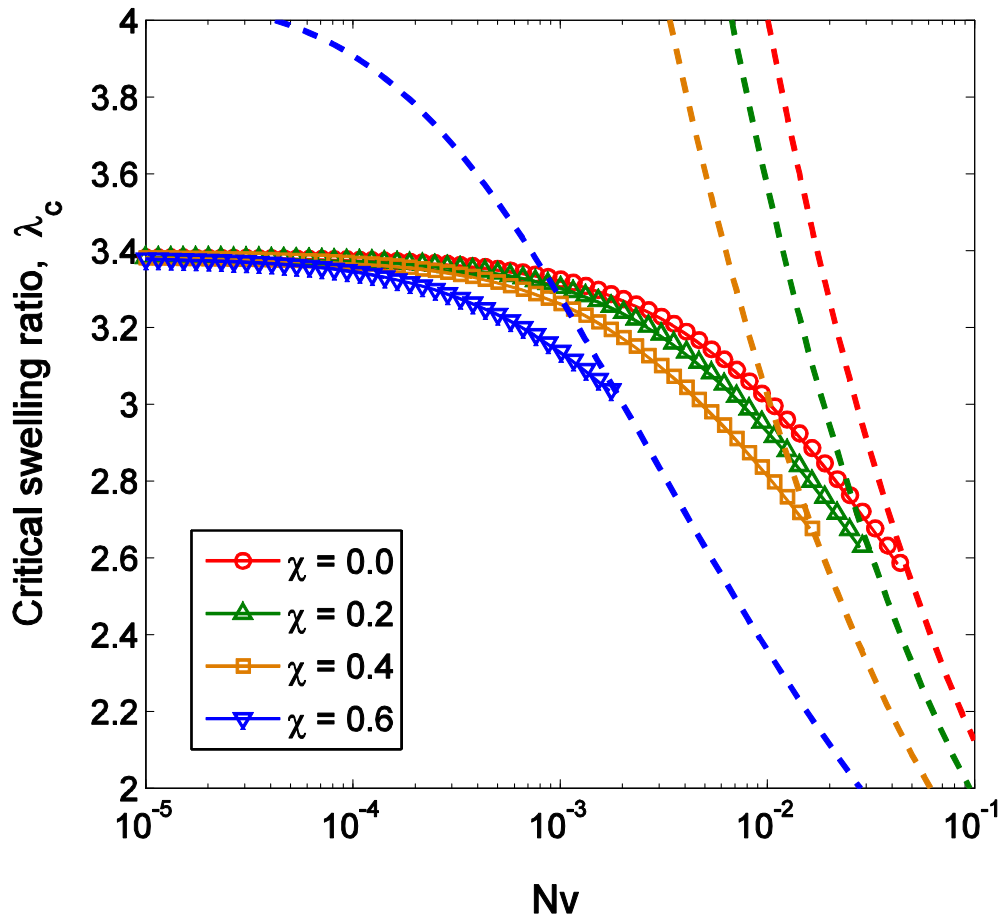
$$\det[D_{mn}] = f(kh_0, \lambda_h; N\nu, \chi) = 0$$

Effect of perturbation wave number



- Long wavelength perturbation is stabilized by the substrate effect.
- Short wavelength perturbation is unaffected.
- Thus the critical condition can be taken at the short-wavelength limit.

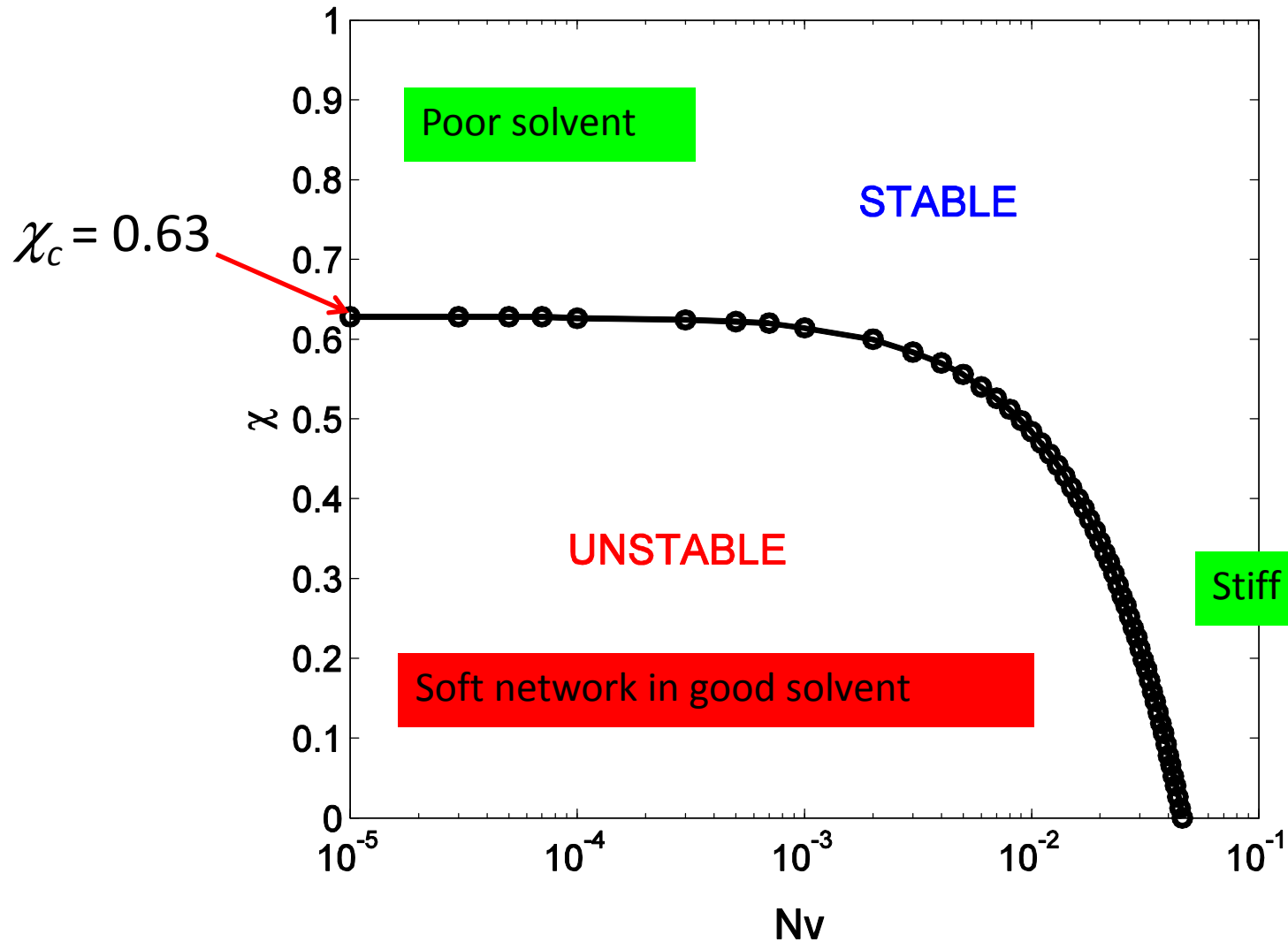
Short-wave limit ($kh_0 \rightarrow \infty$)



$$\left(\lambda_h + \frac{1}{\lambda_h} \right)^2 = 4\lambda_h\beta$$

- The critical swelling ratio depends on Nv and χ , ranging between 2.5 and 3.4.
- For each χ , there exists a critical value for Nv .
- For small Nv ($< 10^{-4}$), the critical swelling ratio is nearly a constant (~ 3.4).

A stability diagram



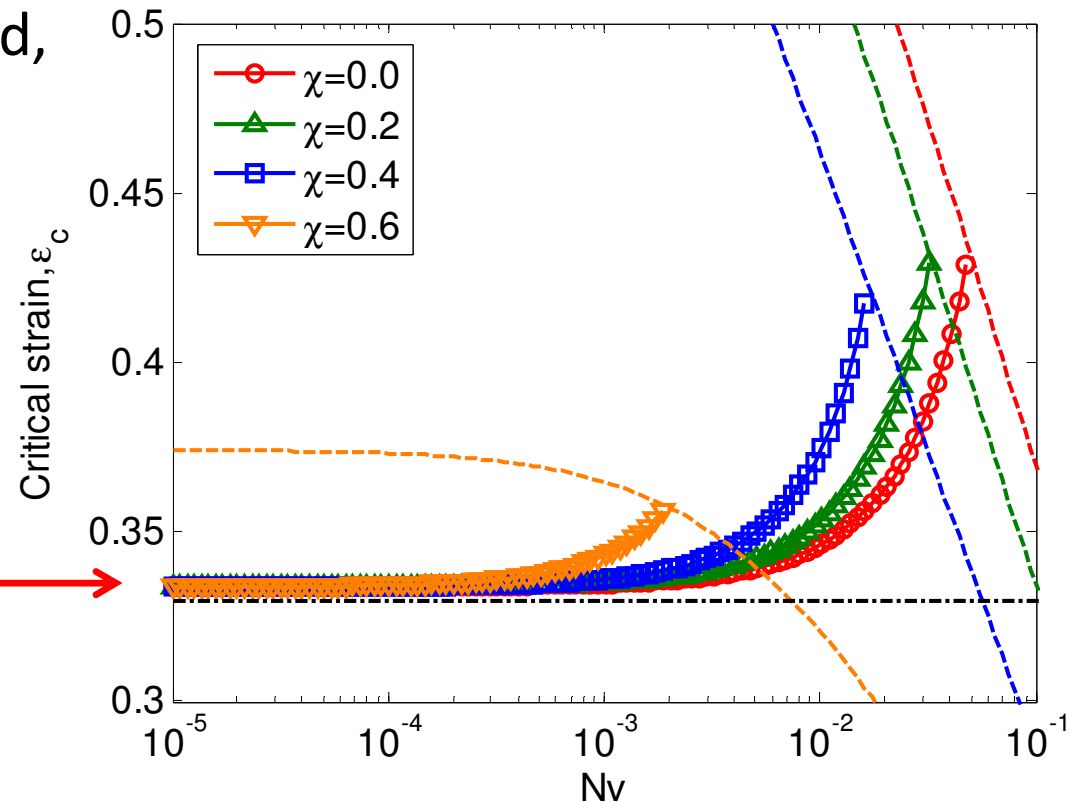
Kang and Huang, J. Mech. Phys. Solids 58, 1582-1598 (2010).

Critical linear strain

Relative to the unconstrained, free swelling in 3D:

$$\varepsilon = \frac{\lambda_{3D} - 1}{\lambda_{3D}}$$

$$\varepsilon_c = 0.33$$

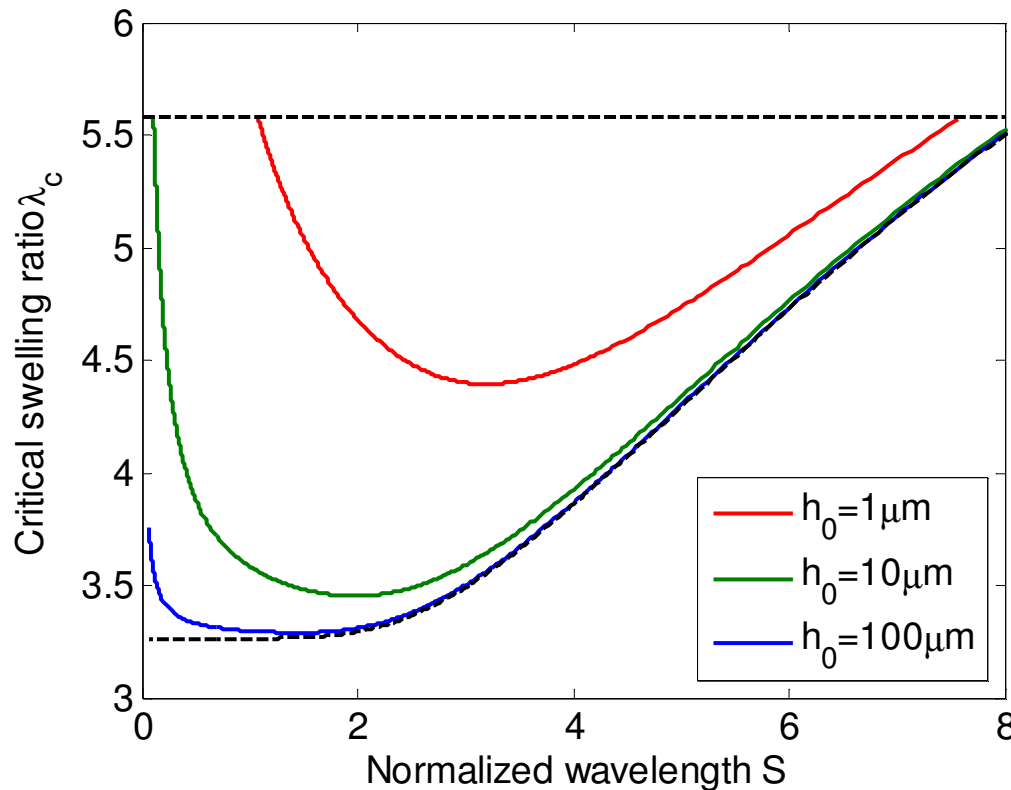


- Trujillo et al.'s experiments for a swelling hydrogel
- Biot's analysis for rubber under equi-biaxial compression

Effect of surface tension

A length scale:

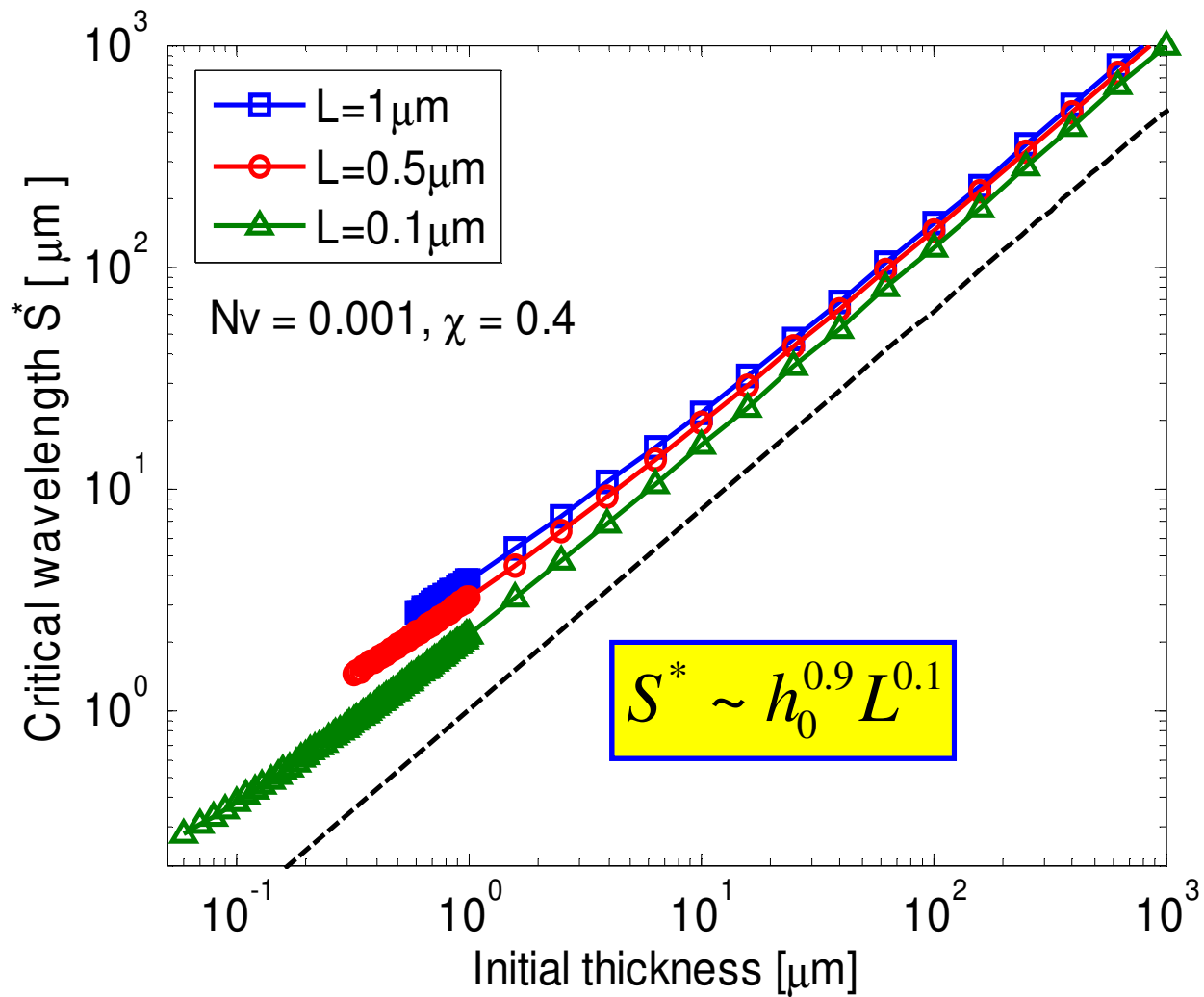
$$L = \frac{\gamma}{Nk_B T} \sim \frac{0.53 \text{ nm}}{Nv}$$



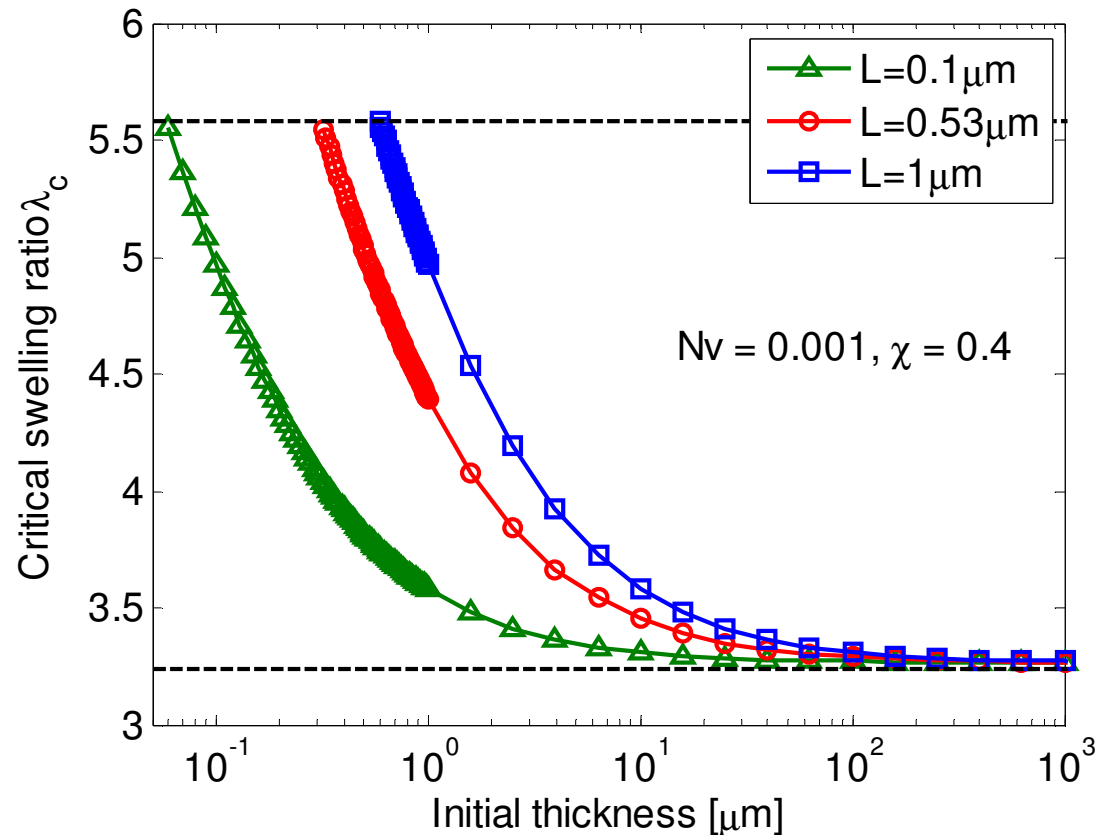
- Long wavelength perturbation is stabilized by the substrate.
- Short wavelength perturbation is stabilized by surface tension.
- An intermediate characteristic wavelength emerges.
- The minimum critical swelling ratio depends on the layer thickness.

$$Nv = 0.001, \chi = 0.4$$

Characteristic wavelength

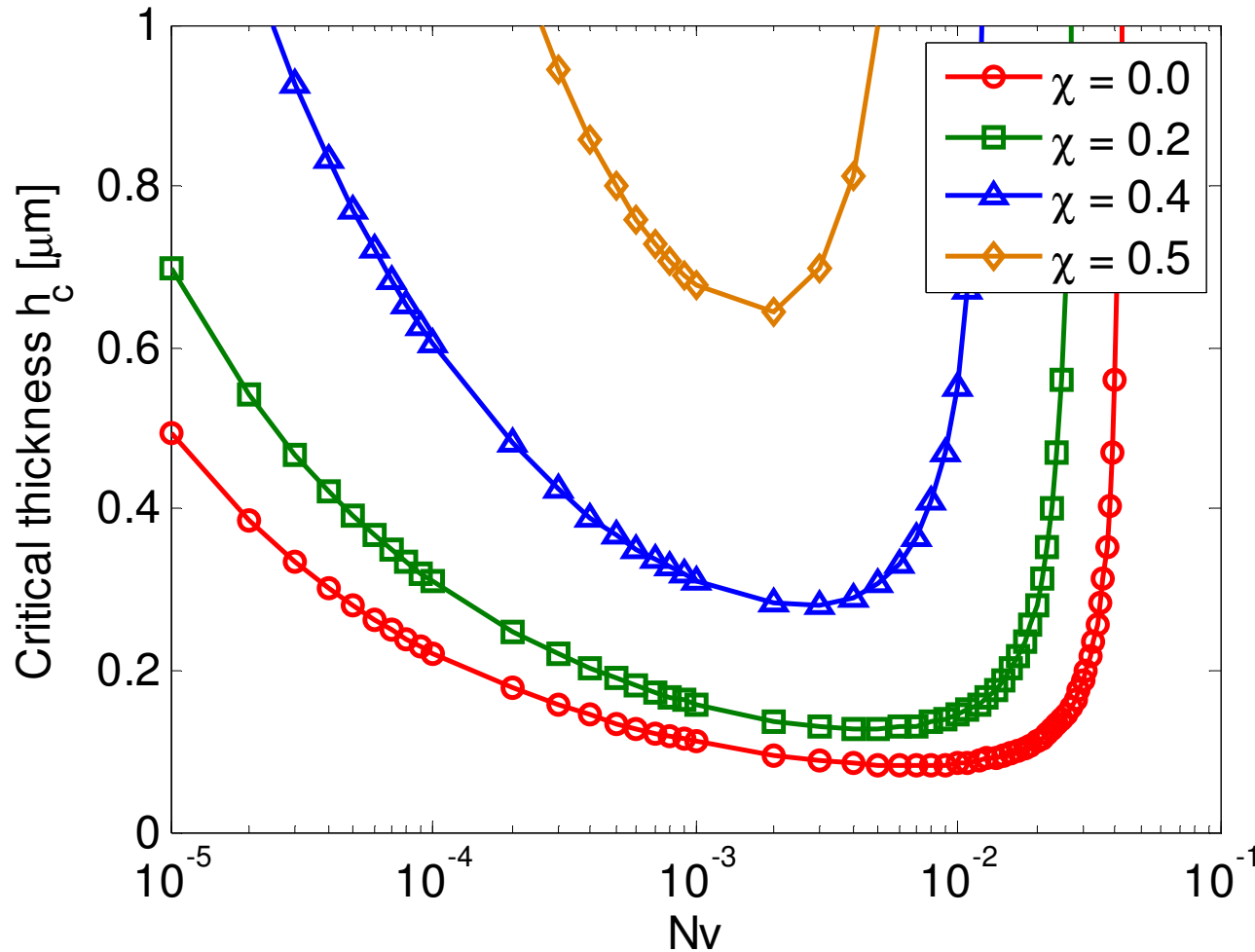


Thickness-dependent stability



- The hydrogel layer becomes increasingly stable as the initial layer decreases;
- Below a critical thickness (h_c), the hydrogel is stable at the equilibrium state.

Critical thickness



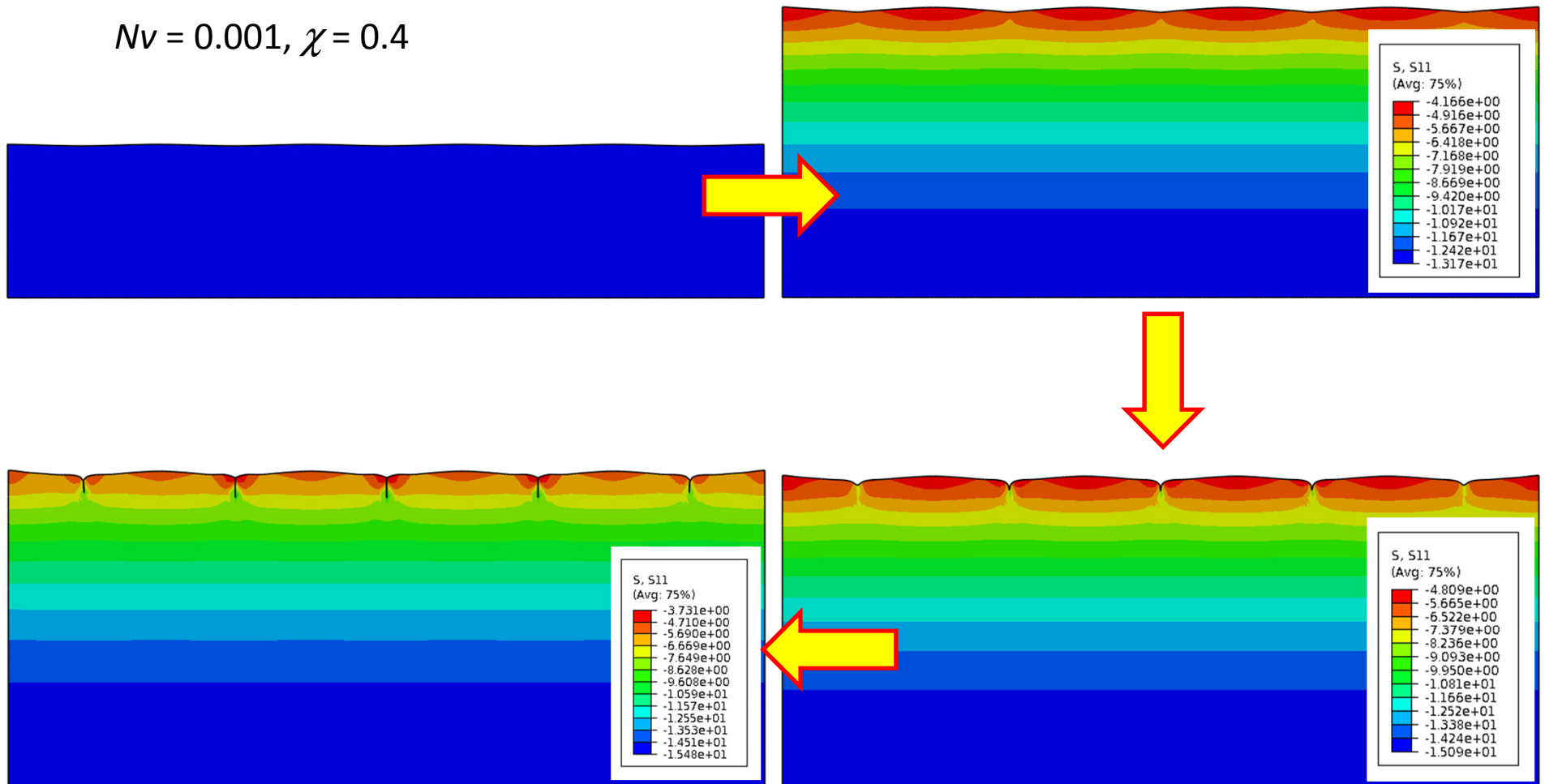
$$L' = \frac{\gamma}{k_B T} = 0.53 \text{ nm}$$

$$L = \frac{L'}{Nv}$$

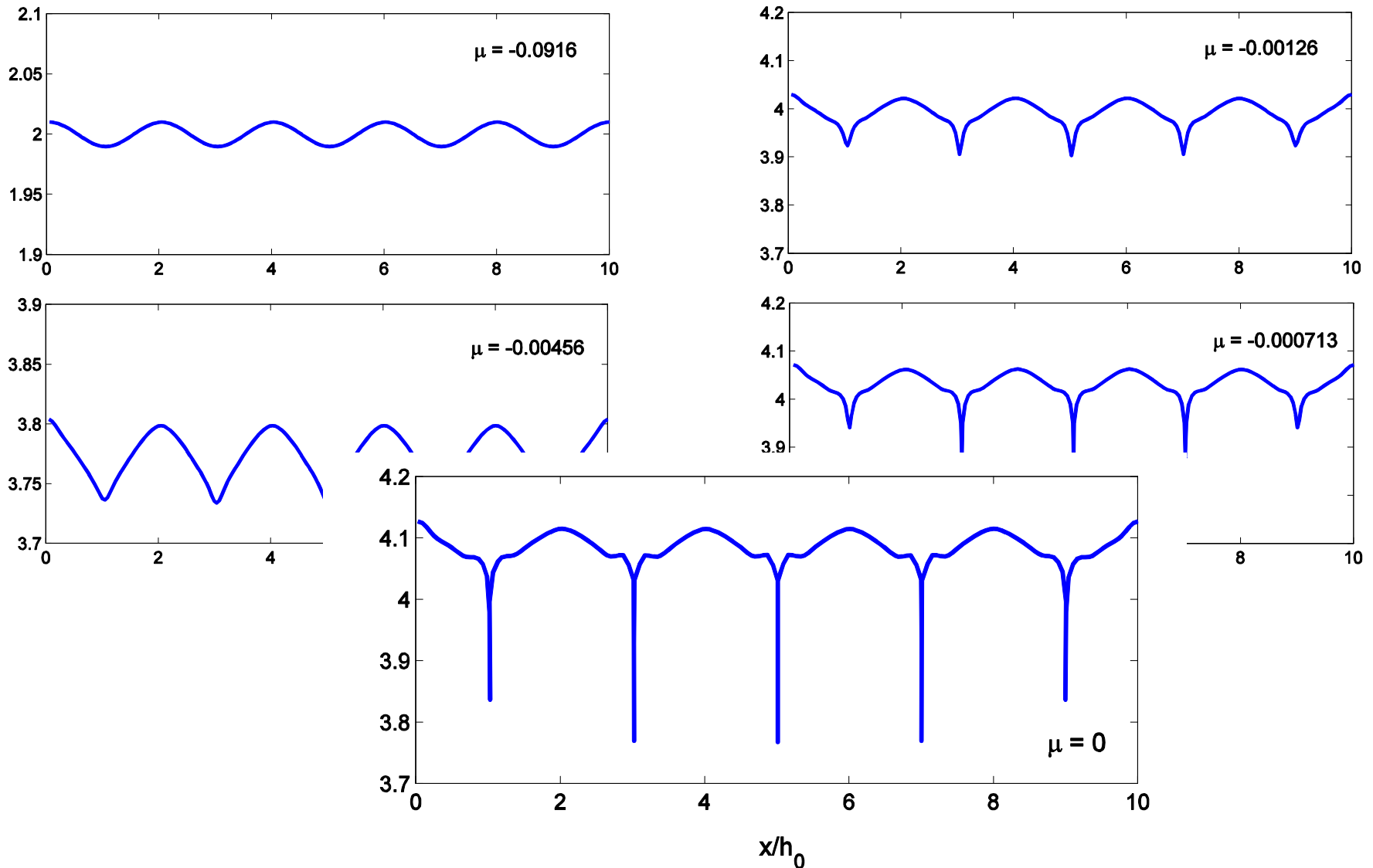
- The critical thickness is linearly proportional to L , with the proportionality depending on Nv and χ .

Finite element simulation

$Nv = 0.001, \chi = 0.4$

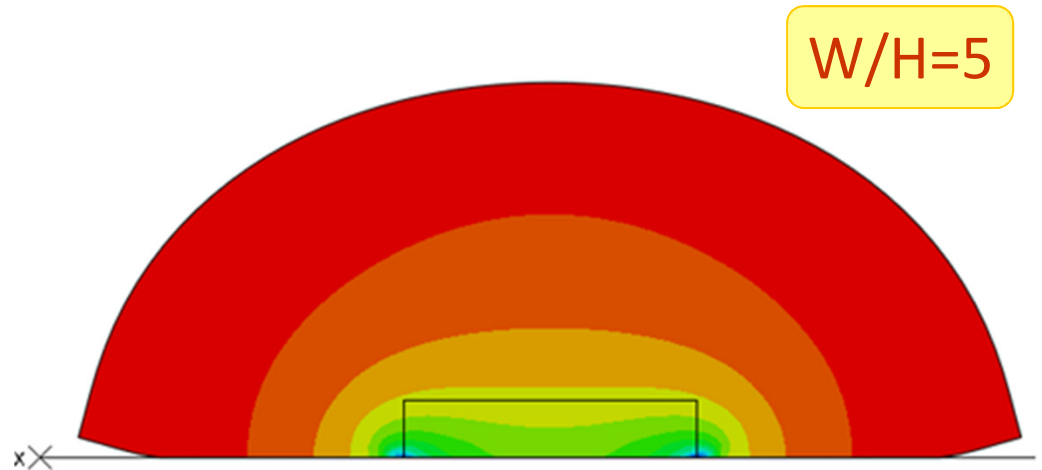
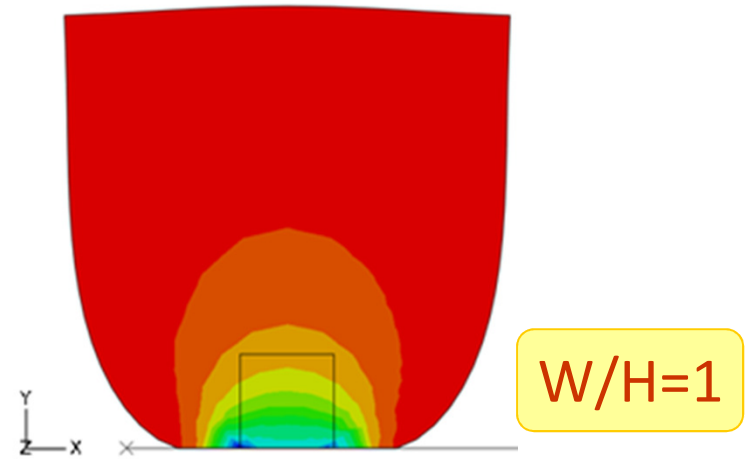
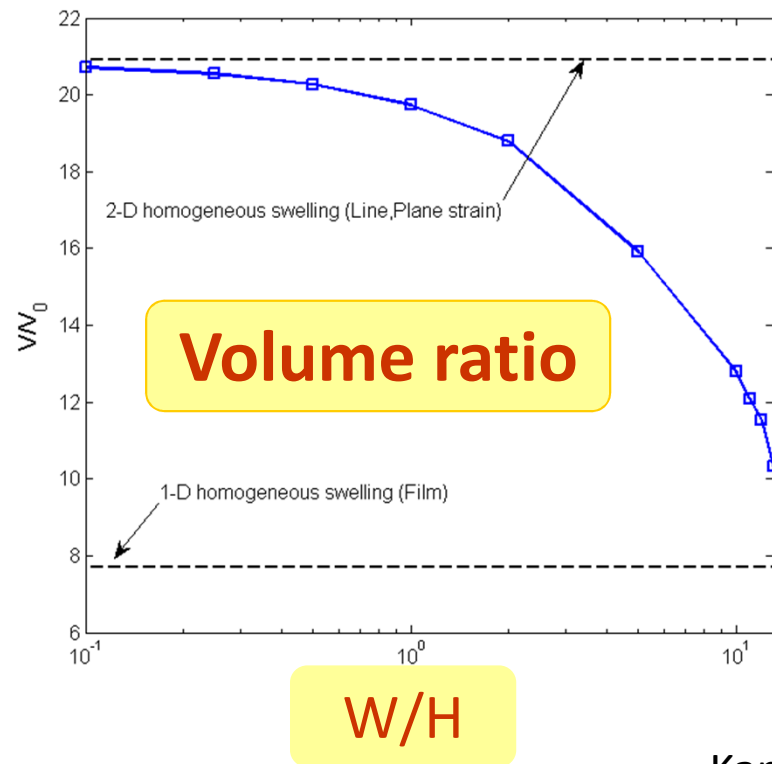
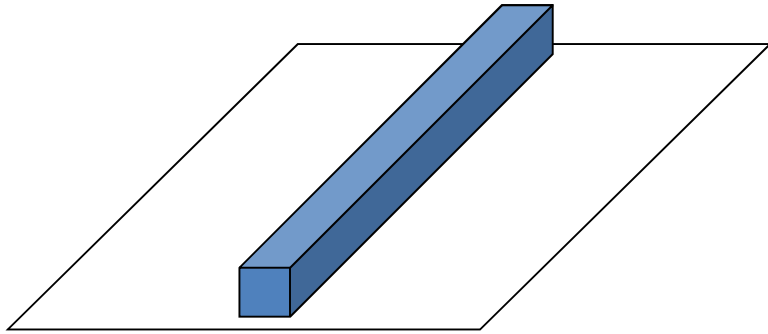


Surface Evolution



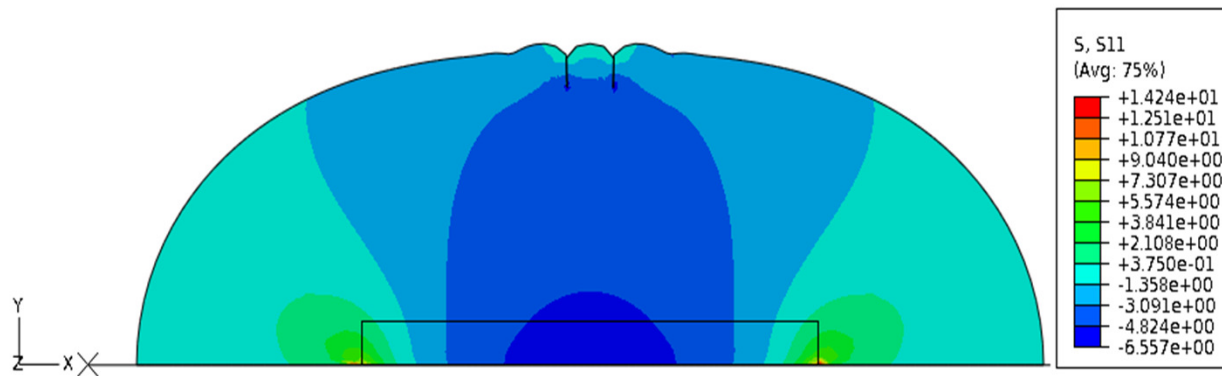
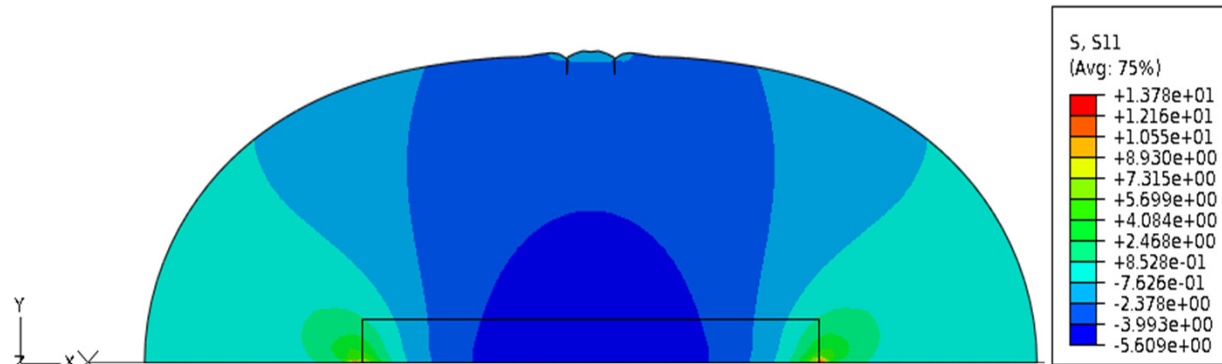
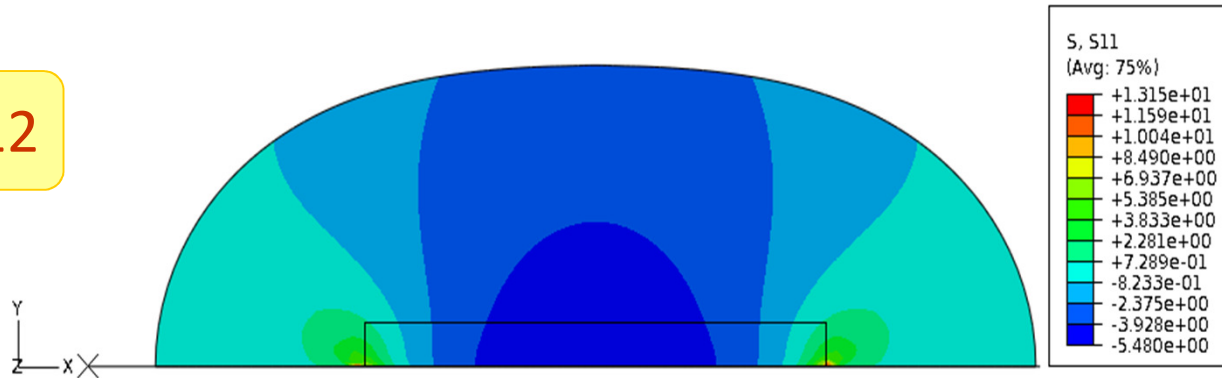
Kang and Huang, J. Mech. Phys. Solids 58, 1582-1598 (2010).

Inhomogeneous Swelling of Substrate-Supported Hydrogel Lines



Spontaneous Formation of Creases

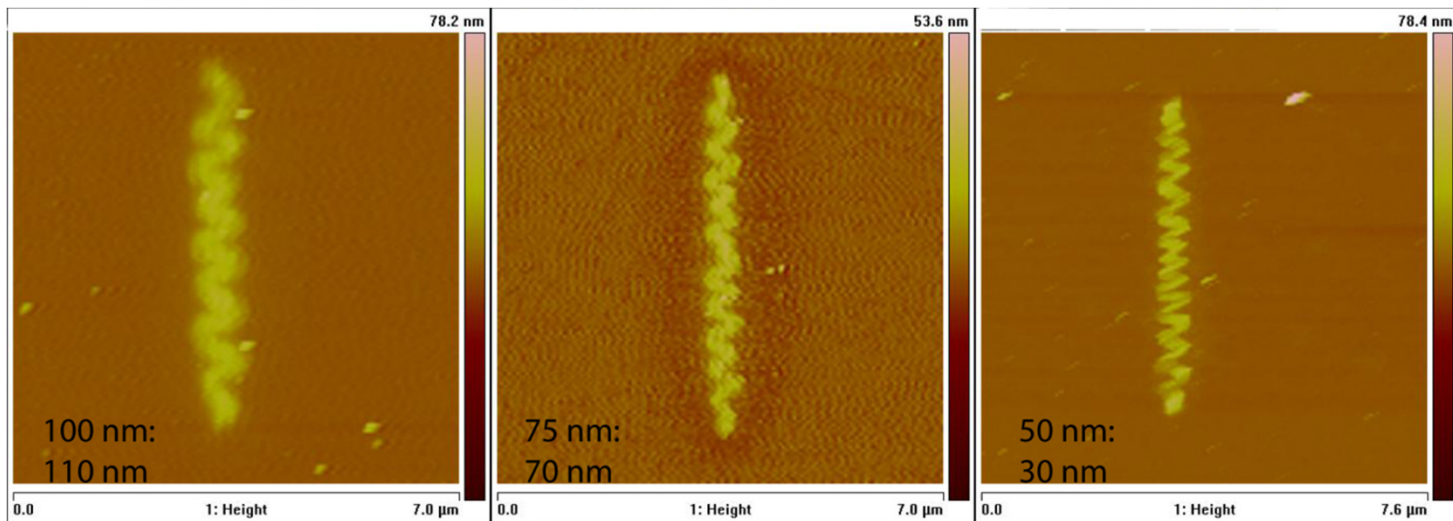
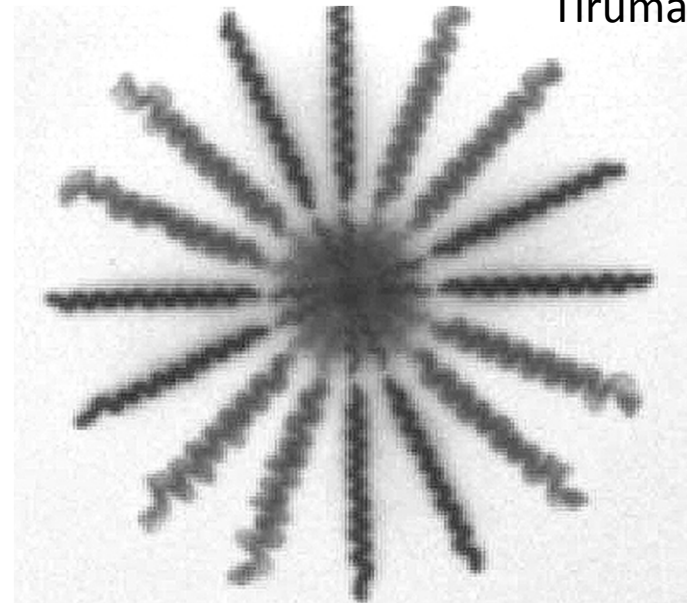
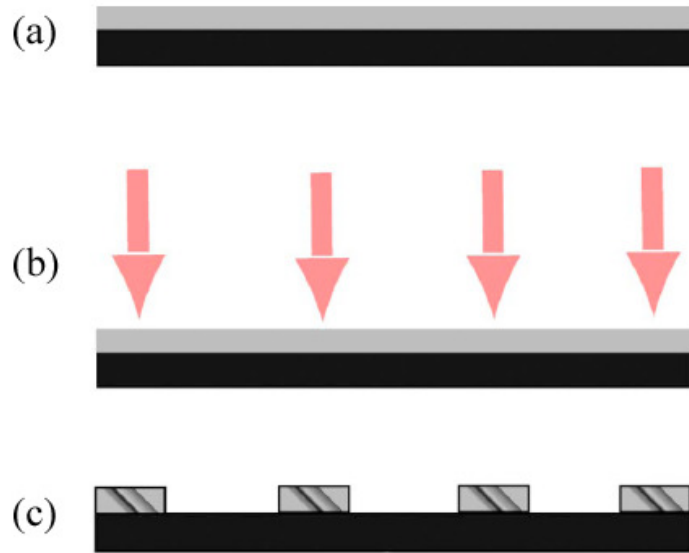
W/H=12



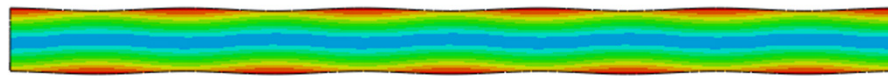
Kang and Huang, J. Applied Mechanics 77, 061004 (2010).

Swell-induced buckling

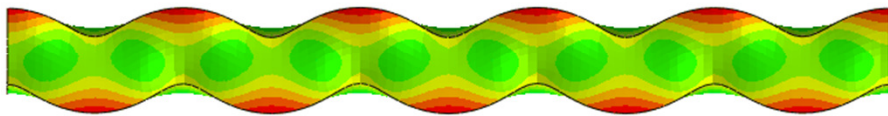
Tirumala et al., 2005



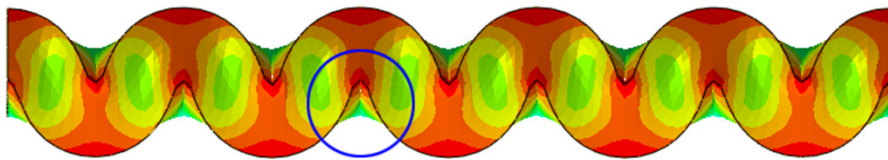
Effect of material parameters



$Nv=0.1, \chi=0.55$

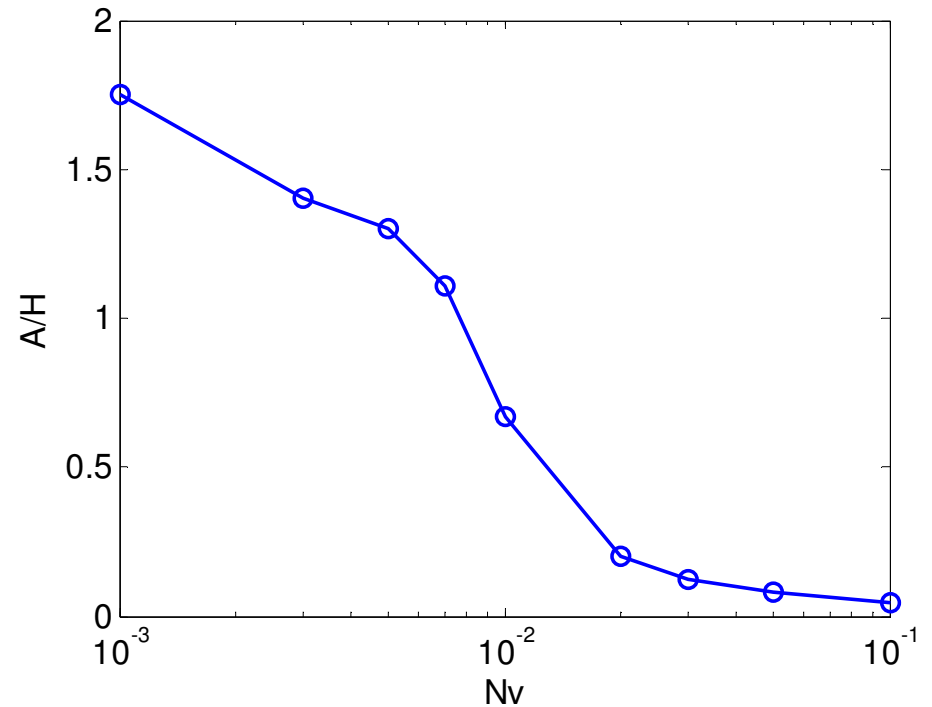


$Nv=0.01, \chi=0.55$

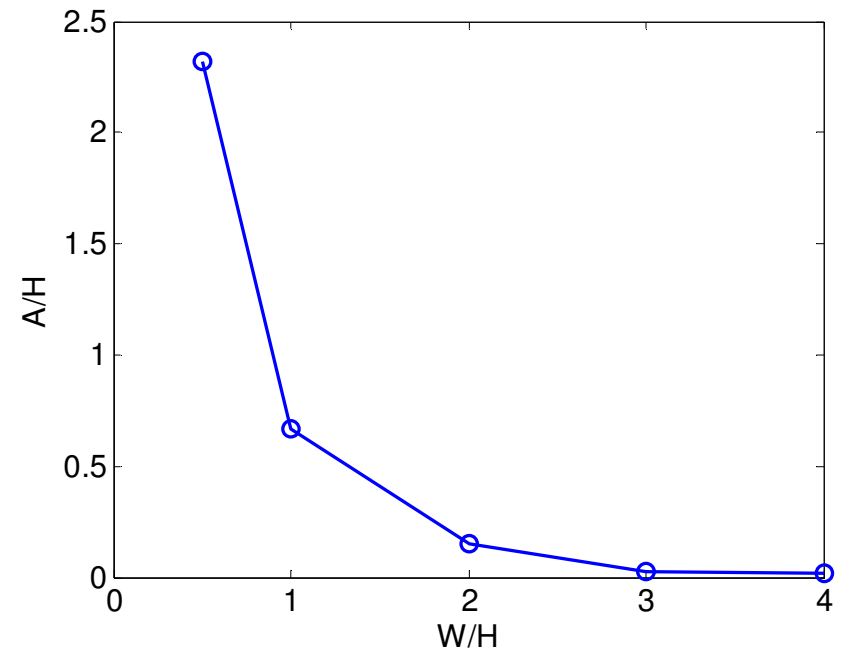
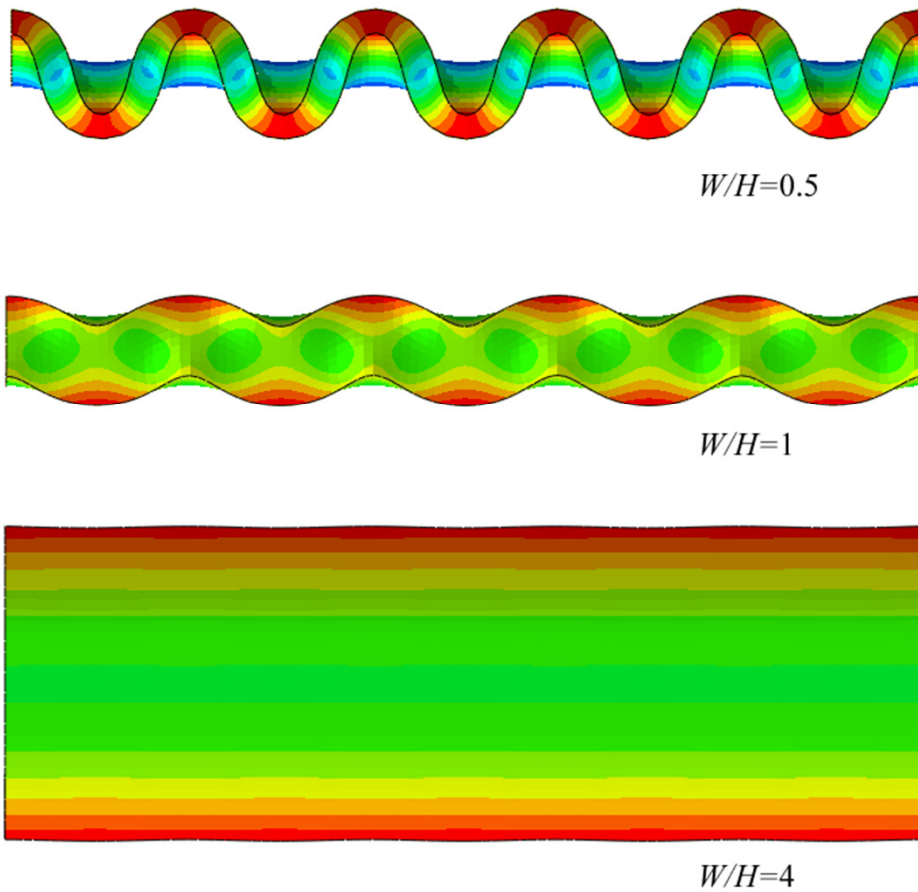


A

$Nv=0.001, \chi=0.55$



Effect of geometry (constraint)



Summary

- **Opportunity:** Within the general theoretical framework, instability of hydrogel-like soft material can be understood and exploited.
- **Challenge:** The highly nonlinear aspects in the material, geometry, and instability mechanics pose serious challenges for theoretical analysis and numerical simulations.
- **Strategy:** Collaborations between experimental and theoretical studies will be most successful.